



*November 3, 2023*

## Danish Epilepsy Society Meeting, Copenhagen

# Ketogenic Diet: Overview of Mechanisms of Action Potentially Targeting Disease Pathophysiology

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Neurosciences



Department of Pediatrics

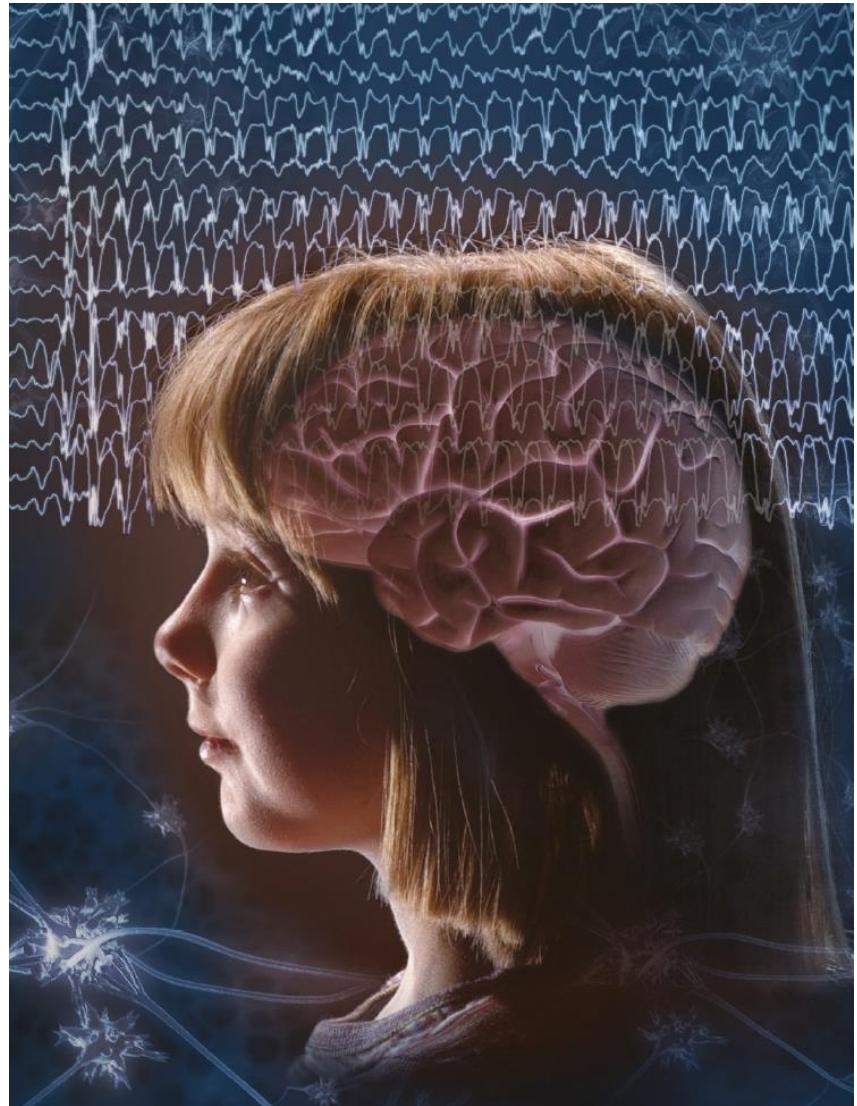


# Disclosures

- ***Consultant, Speakers Bureau, Scientific Advisory Board Activity (2020-2023)***
  - The Charlie Foundation (Santa Monica, CA)
  - Matthew's Friends Foundation (Surrey, United Kingdom)
  - Danone Nutricia
  - Eisai Canada/US
  - Aquestive Pharmaceuticals
  - Cerecin
  - Biocodex
  - Zogenix

# Epilepsy

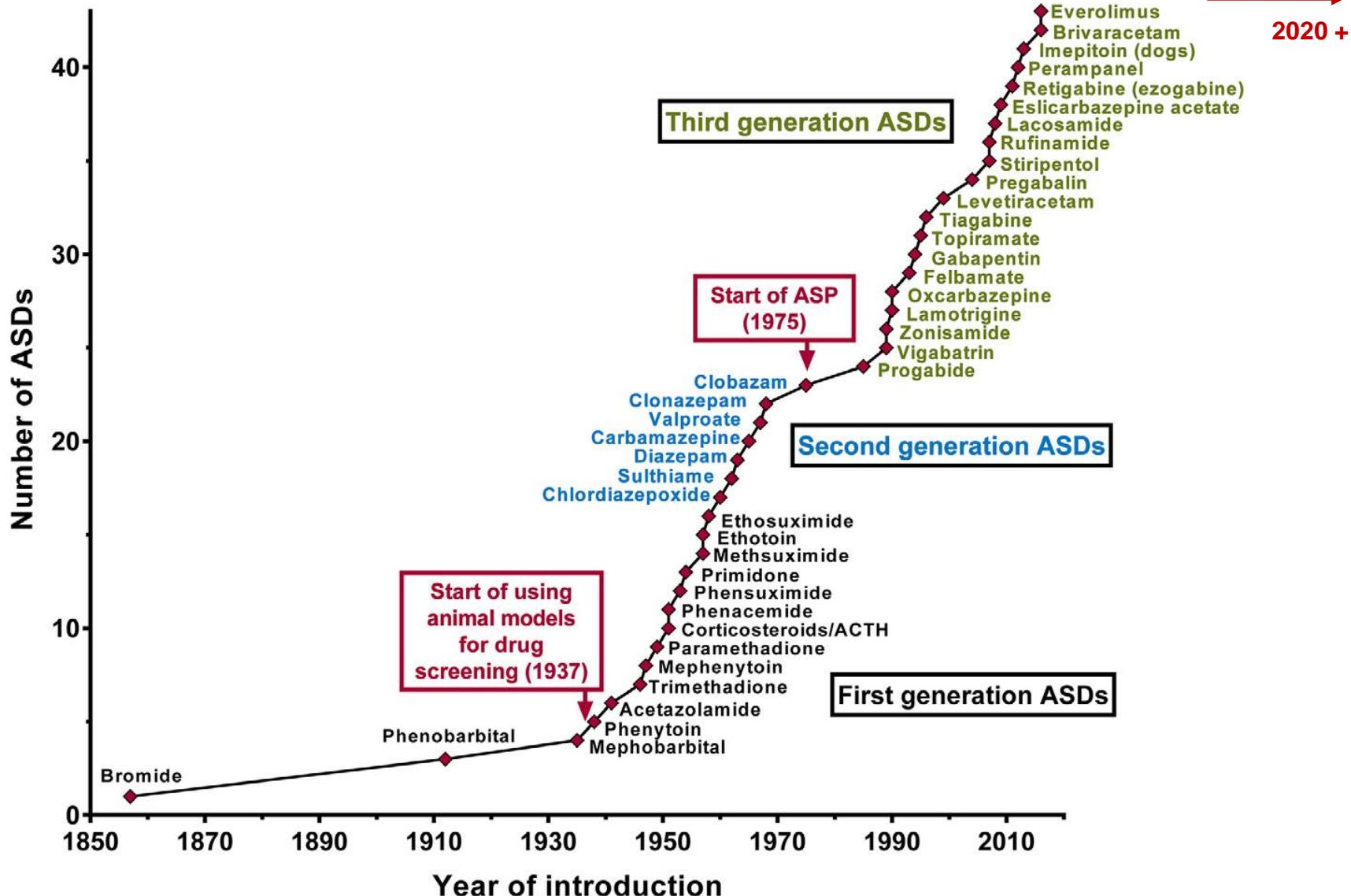
- Neurological disorder affecting 1% of the general population, and across the age-span
- Characterized by spontaneous recurrent seizures
- Despite dozens of medications, a third of patients do not respond
- Epilepsy can be progressive, and often impairs cognition, behavior, and general health
- There is a heightened risk of accidents, trauma, and even sudden unexpected death (SUDEP)



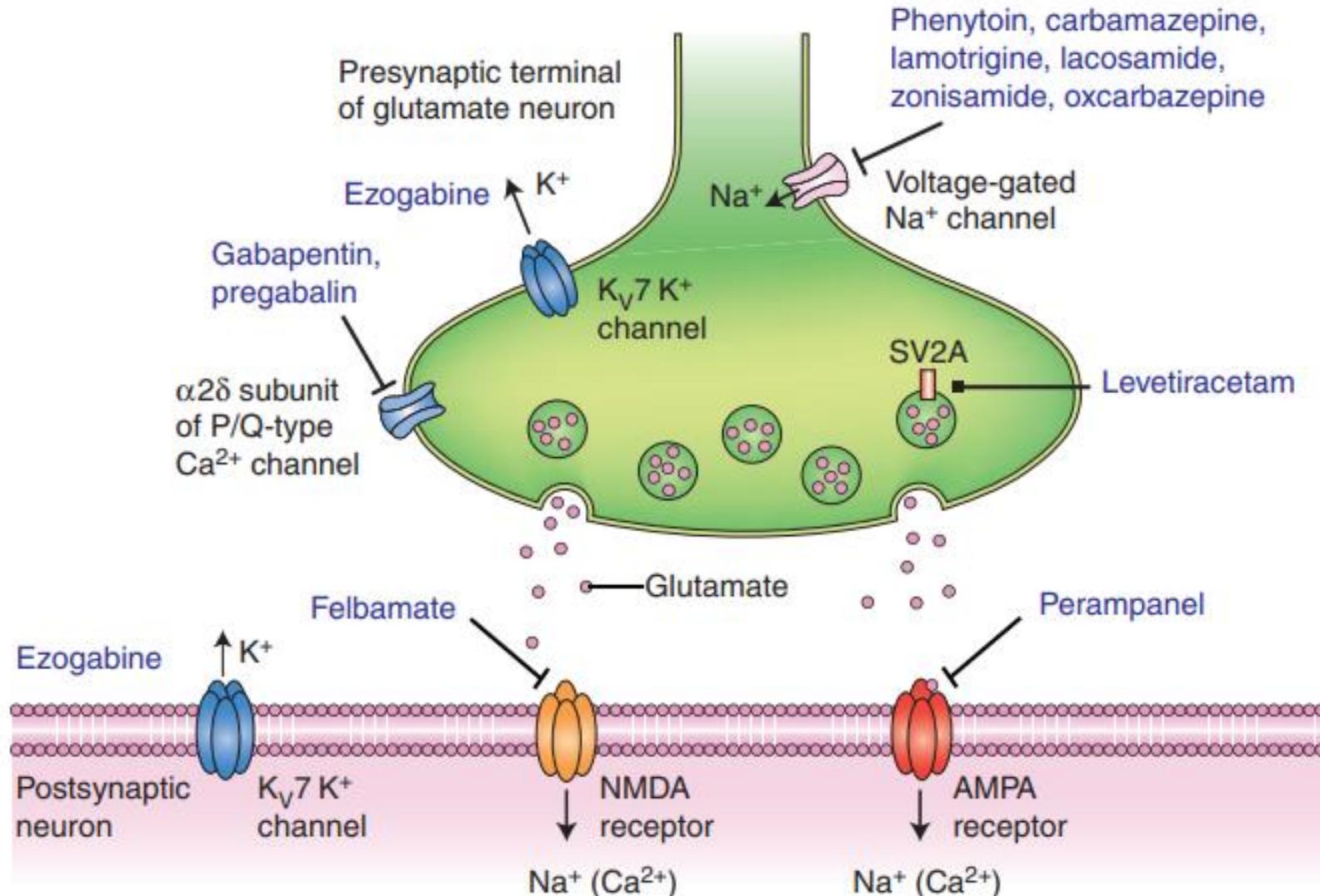
# Mainstay of Epilepsy Therapeutics: Anti-Seizure Medications (ASMs)

Cannabidiol  
Cenobamate  
Fenfluramine  
Ganaxolone

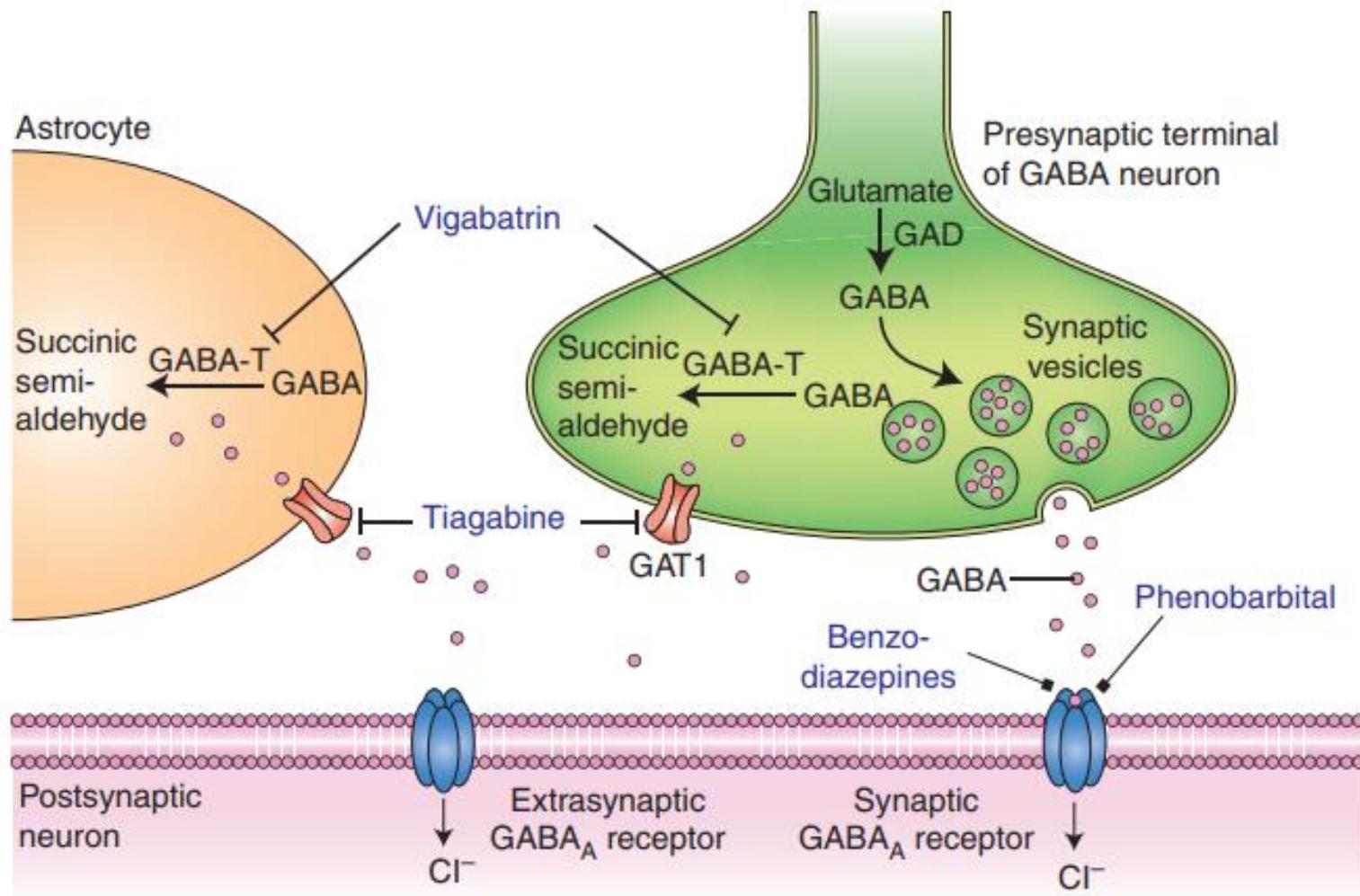
2020 +



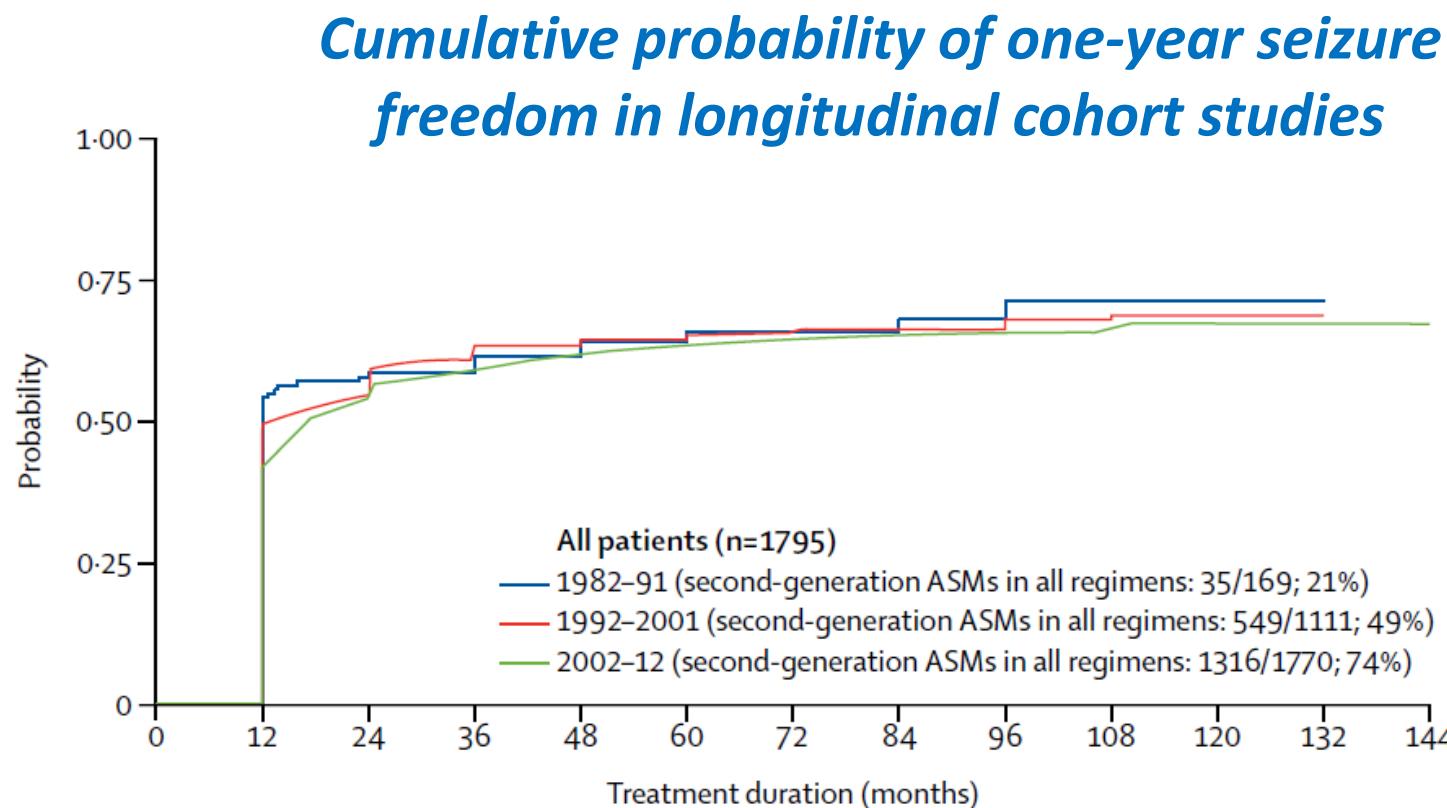
# Central Excitatory Synapse



# Central Inhibitory Synapse



# How Effective Are Current Anti-Seizure Medications?



## Number at risk

1982-91	142	57	43	27	21	18	16	10	3	1	1	0
1992-2001	684	301	229	197	156	123	106	74	42	21	8	0
2002-12	969	389	312	261	228	202	175	148	119	98	69	29

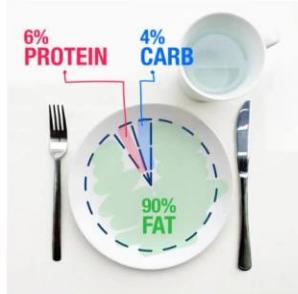
# Metabolism-Based Treatments for Epilepsy

## *The Ketogenic Diet*

High-fat, low-carbohydrate, adequate protein anti-seizure diet designed to mimic the biochemical changes associated with fasting.

- *Ketosis*
- *Glycolytic restriction*

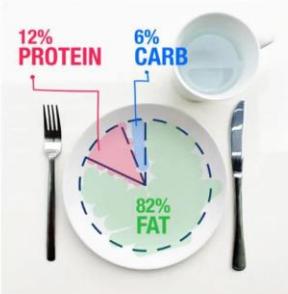
<https://charliefoundation.org/diet-plans/>



CLASSIC KETO

An individualized and structured diet that provides specific meal plans. Foods are weighed and meals should be consumed in their entirety for best results.

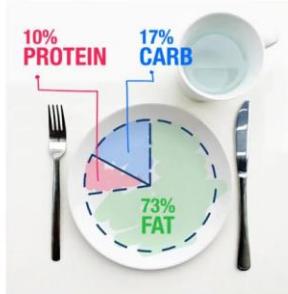
Macronutrient Ratio: 4:1



MODIFIED KETO

Modifying the restrictiveness of classic keto can be helpful when starting the diet, or when tapering down to a more sustainable, long term diet.

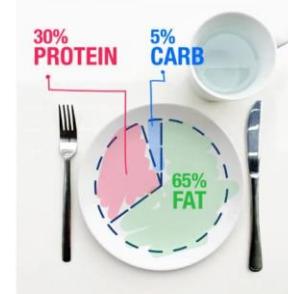
Macronutrient Ratio: 3:1 to 1:1 (range)



MCT

An individualized and structured diet containing highly ketogenic Medium Chain Triglycerides (MCT), allowing for more carb and protein than classic keto.

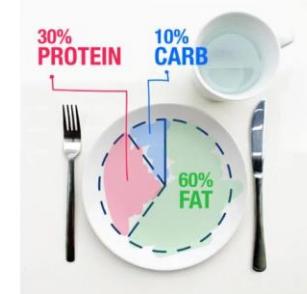
Macronutrient Ratio: 1:9:1



MODIFIED ATKINS

Limits the amount of carbohydrate, encourages fat, and does not limit protein. Carbohydrates are to be accompanied by fat when consumed.

Macronutrient Ratio: 0.8:1



LOW GLYCEMIC INDEX

An individualized but less structured diet, it uses exchange lists for planning meal and emphasizes complex carbohydrates. It is not intended to promote ketosis.

Macronutrient Ratio: 2:3

## *Five Variations on a Theme*



## → W The ketogenic diet for the treatment of childhood epilepsy: a randomised controlled trial

Elizabeth G Neal, Hannah Chaffe, Ruby H Schwartz, Margaret S Lawson, Nicole Edwards, Geogianna Fitzsimmons, Andrea Whitney, J Helen Cross

	Patients who achieved cut-off points		p value
	Diet group (n=73)	Control group (n=72)	
>90% reduction in seizures	5 (7%)	0 (0%)	0.0582
>50% reduction in seizures*	28 (38%)	4 (6%)	<0.0001
<50% reduction in seizures†	45 (62%)	68 (94%)	<0.0001

Percentages based on numbers allocated to each intervention. \*Includes patients who reported >90% reduction. †Includes 71 patients with data and 42 unknown (16 did not receive treatment, 10 discontinued treatment, 16 with no data).

**Table 4:** Number of children in each group who achieved 50% and 90% seizure reduction at 3 months



## Ketogenic diets for drug-resistant epilepsy (Review)

Martin-McGill KJ, Bresnahan R, Levy RG, Cooper PN

### Authors' conclusions

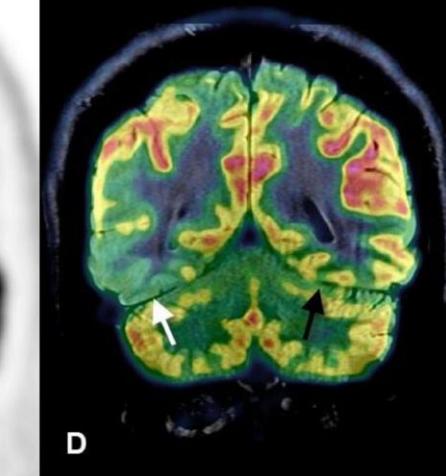
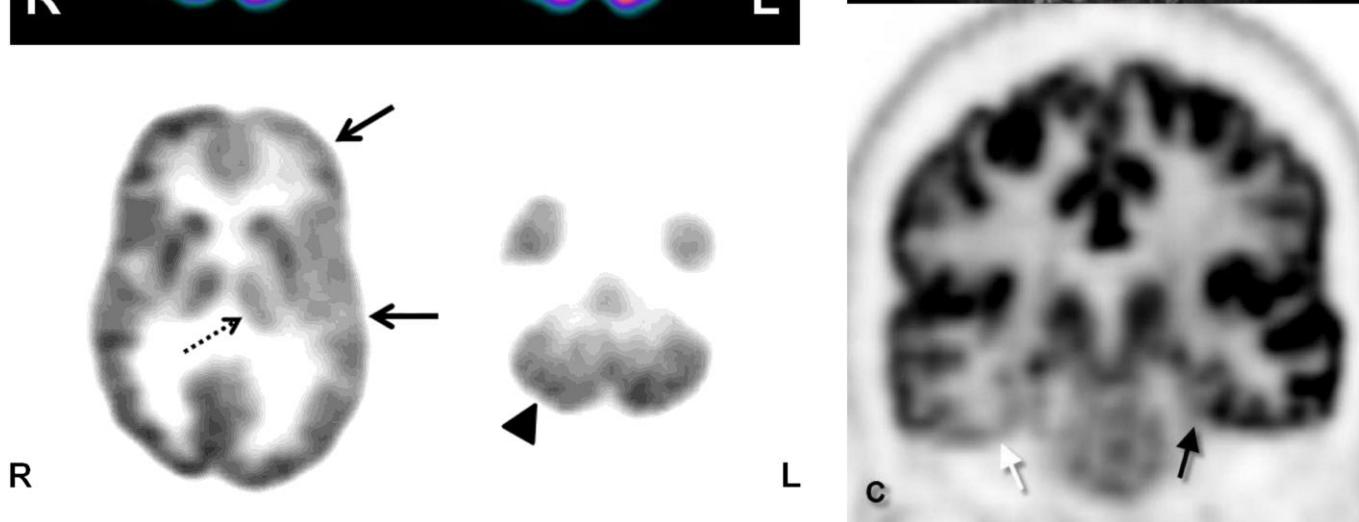
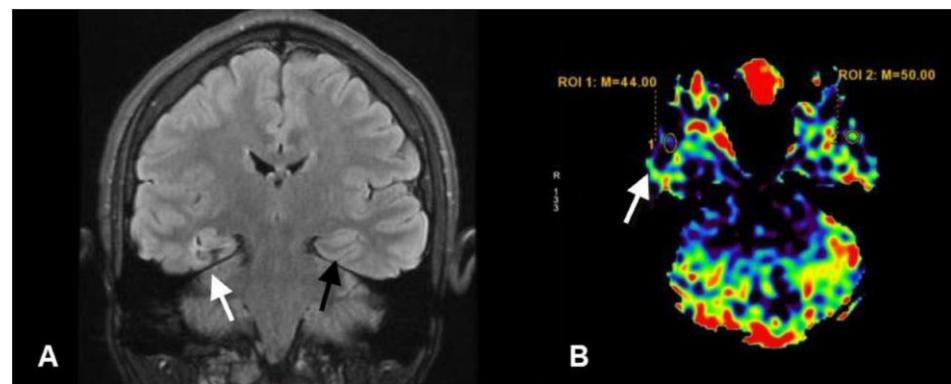
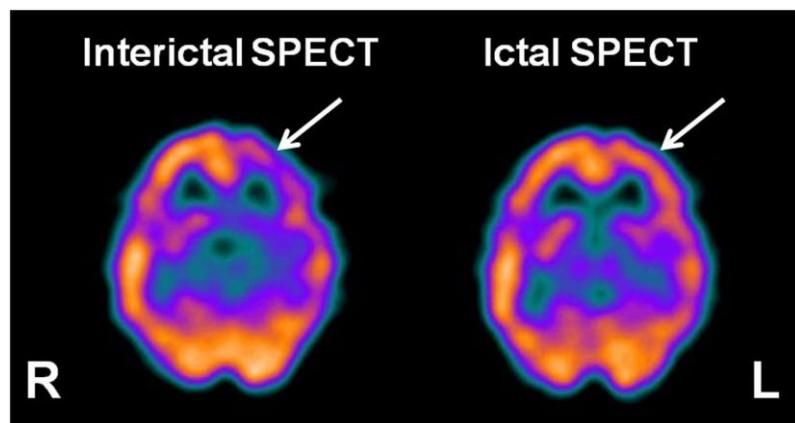
The evidence suggests that KDs could demonstrate effectiveness in children with drug-resistant epilepsy, however, the evidence for the use of KDs in adults remains uncertain. We identified a limited number of studies which all had small sample sizes. Due to the associated risk of bias and imprecision caused by small study populations, the evidence for the use of KDs was of low to very low certainty.

More palatable but related diets, such as the MAD, may have a similar effect on seizure control as the classical KD, but could be associated with fewer adverse effects. This assumption requires more investigation. For people who have drug-resistant epilepsy or who are unsuitable for surgical intervention, KDs remain a valid option. Further research is required, particularly for adults with drug-resistant epilepsy.

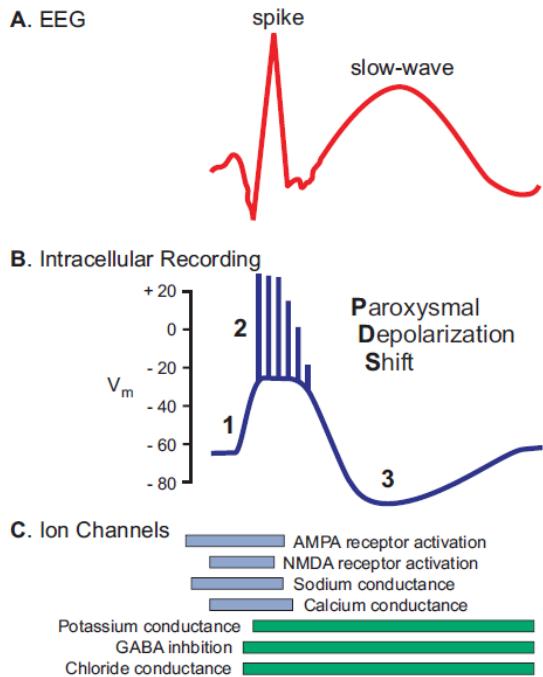
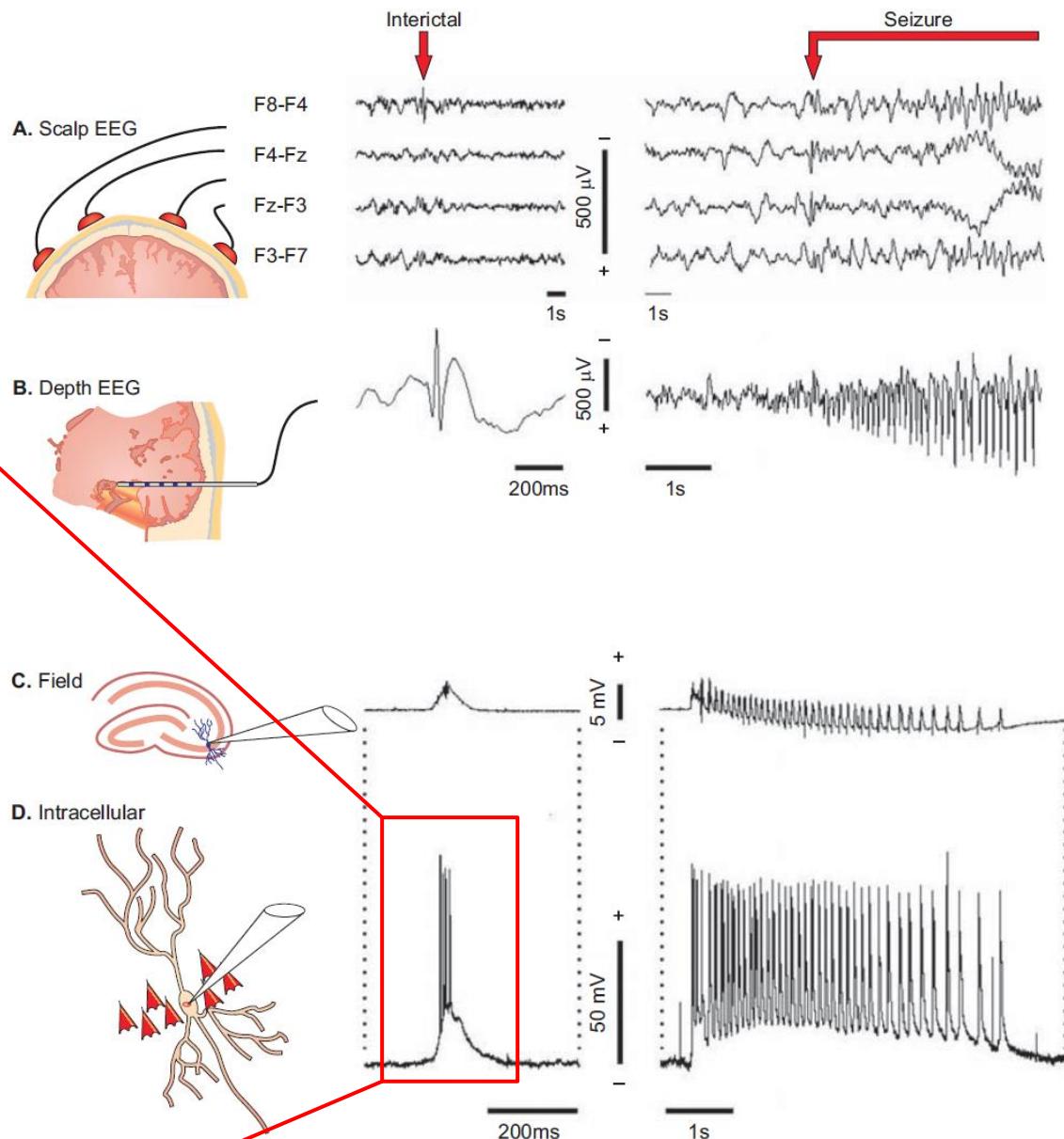
***Over a dozen randomized controlled trials in epilepsy,  
more so than any drug we use for epilepsy !!!***

**What are the linkages between  
aberrant cellular metabolism  
and neuronal excitability &  
hypersynchrony?**

# Cerebral Blood Flow, Bioenergetics & Metabolism in Epilepsy

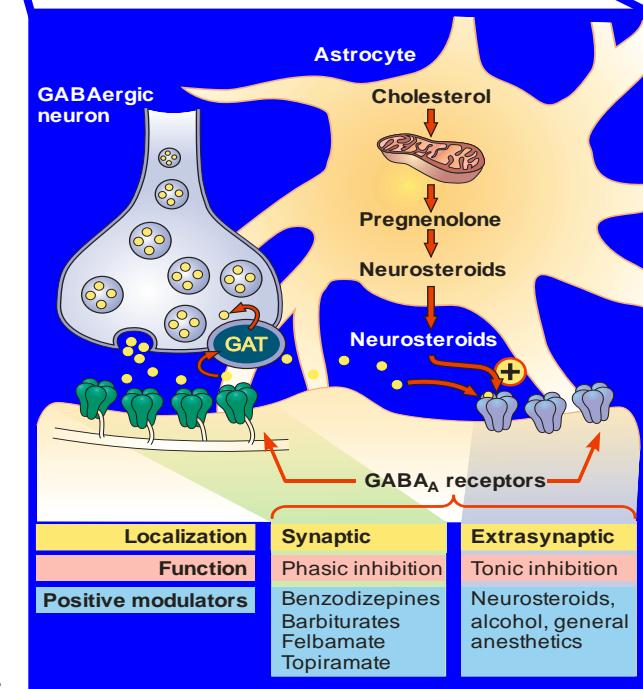
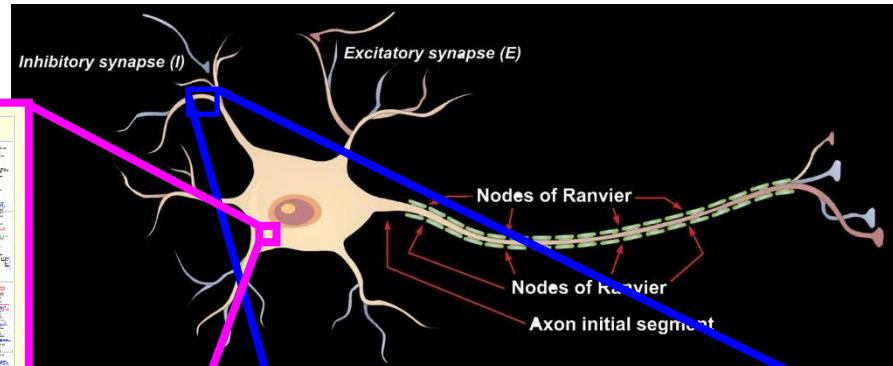
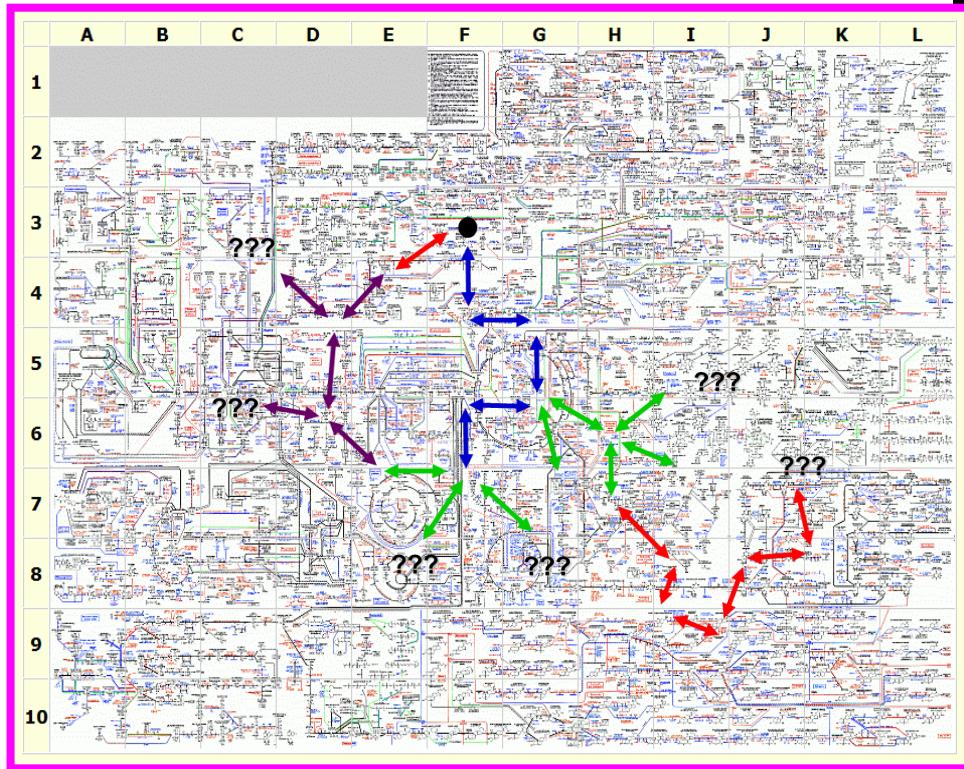


# Hierarchy of Hyperexcitability and Hypersynchrony in the Epileptic Brain



**Paroxysmal Depolarization Shift (PDS)**

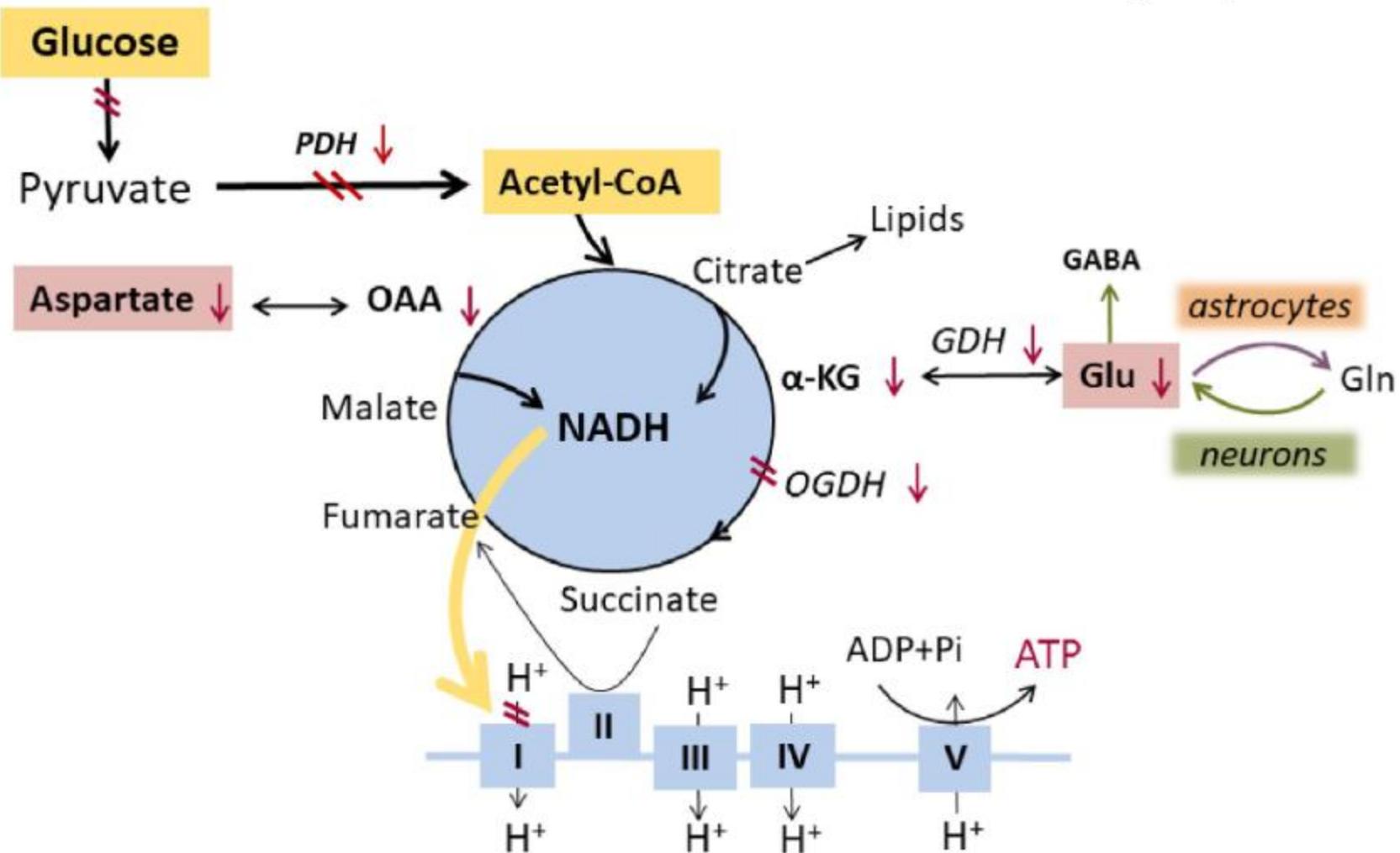
# Conventional View of Drug Action on Synaptic Neurotransmission



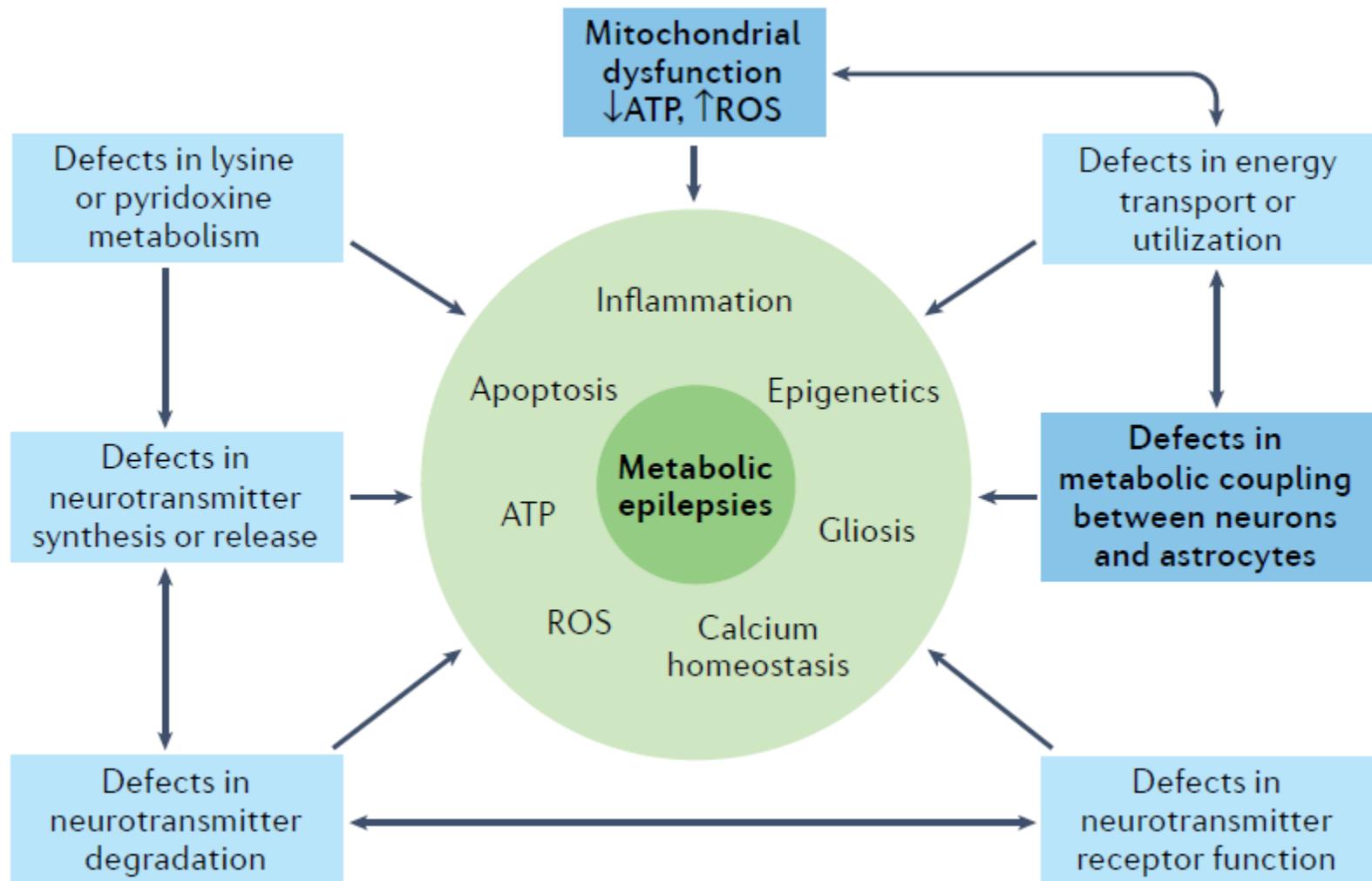
## CELLULAR BIOENERGETICS & METABOLISM

Benarroch EE. *Neurology*. 2007;68(8):612-614.

# Changes in Brain Cell Energy Metabolism in Epilepsy

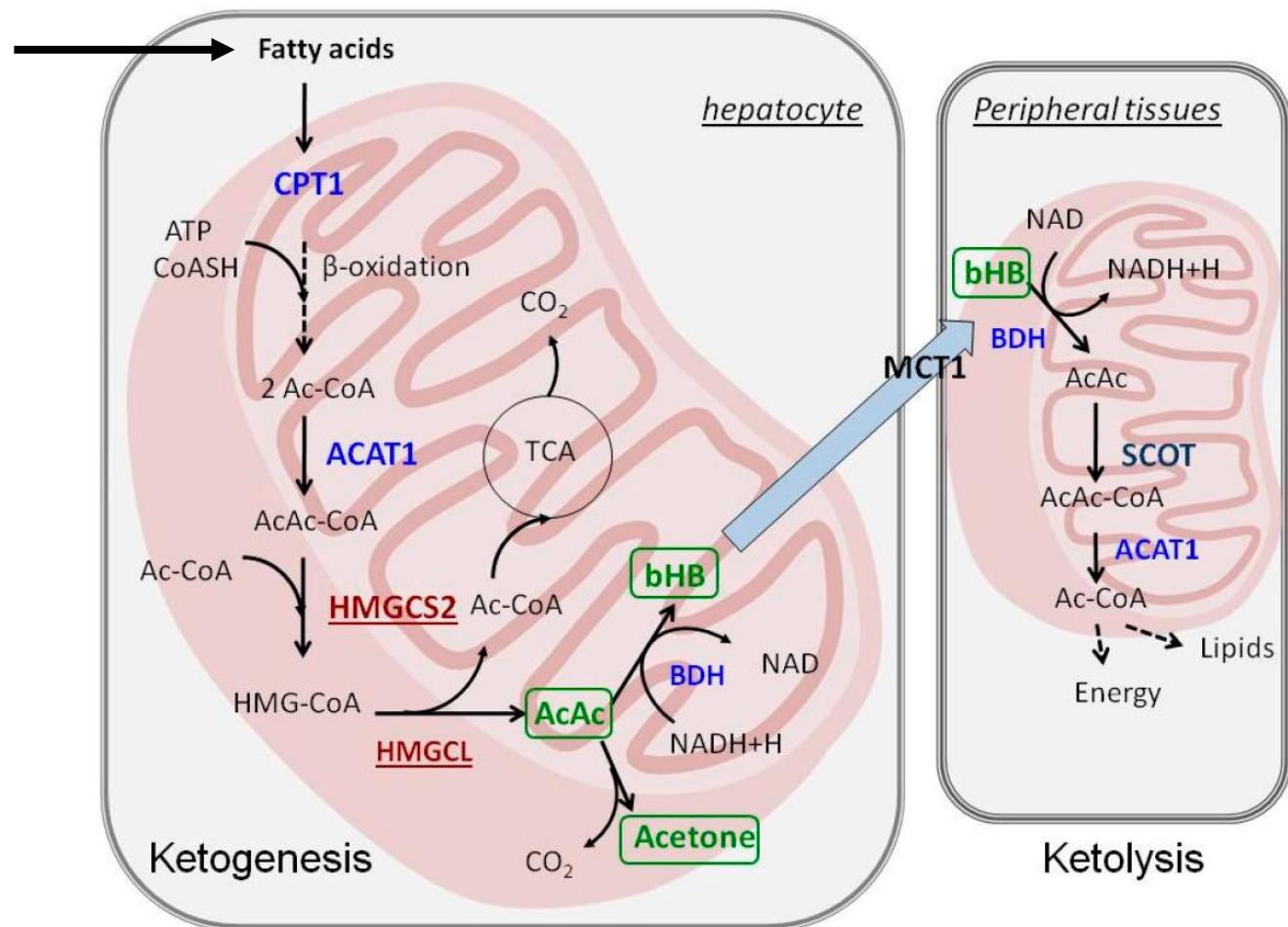


# Pathogenesis of Metabolic Epilepsies

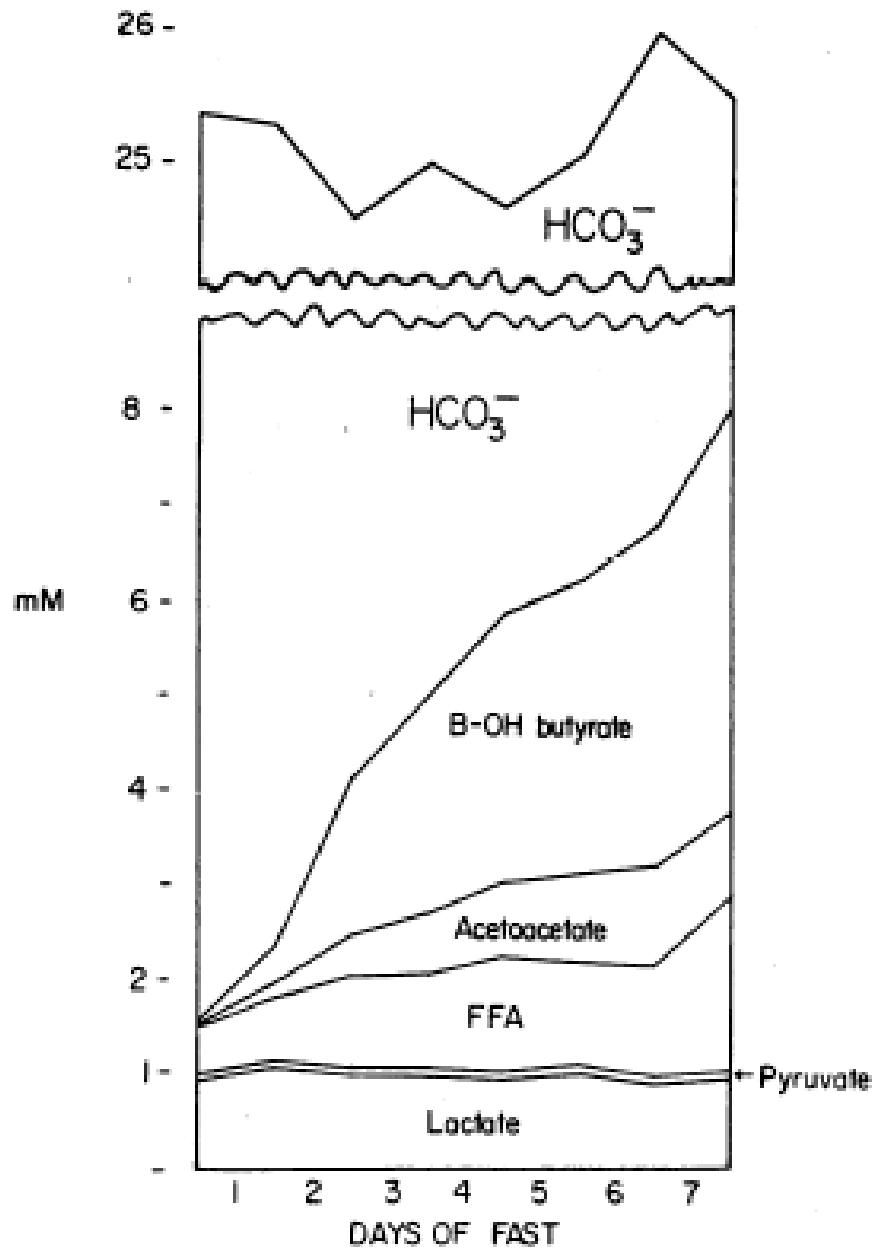


# Ketogenesis and Ketolysis

Ketogenic Diet

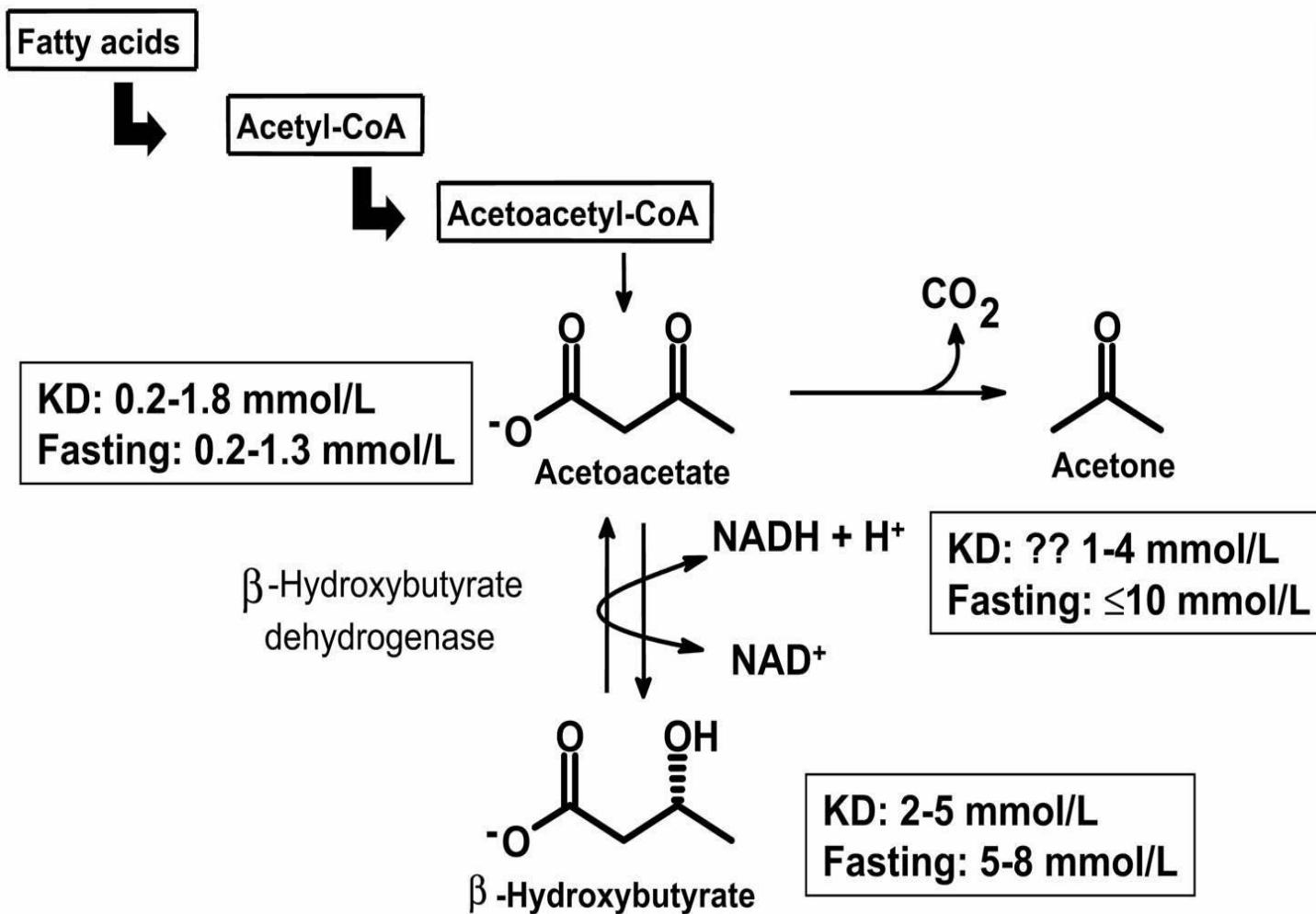


# Metabolic Changes During Fasting

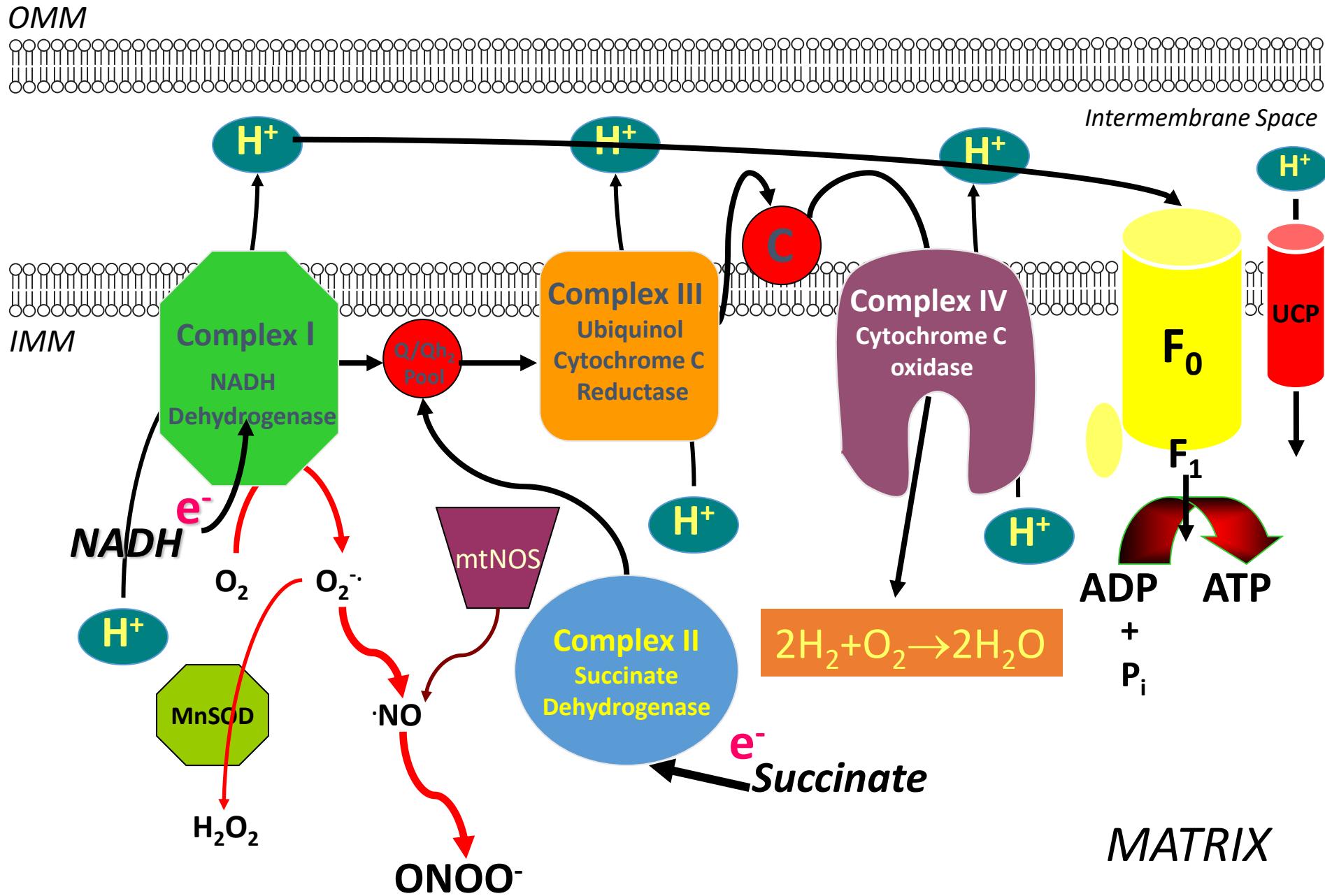


Cahill et al, J Clin Invest 1966

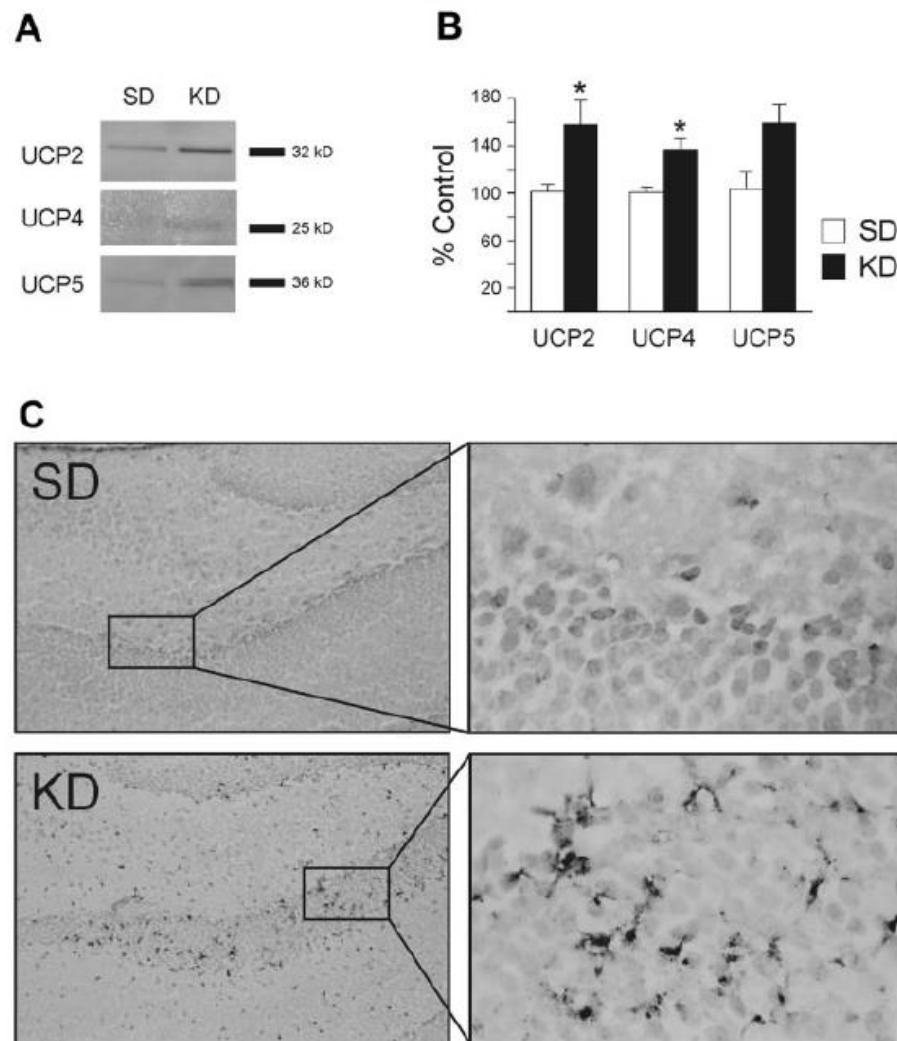
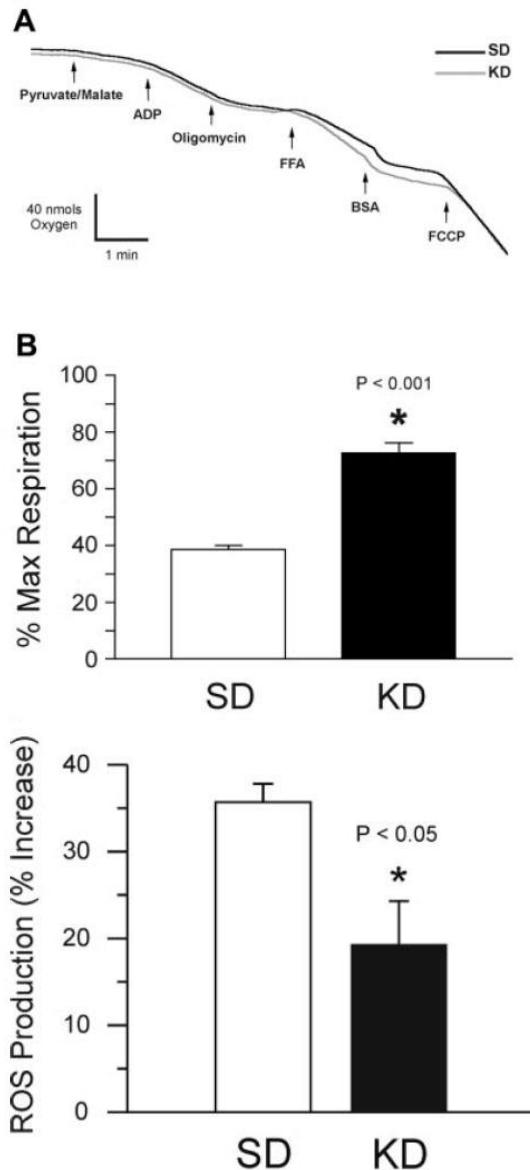
# Clinically Relevant Ketone Body Concentrations



# MITOCHONDRIAL RESPIRATORY CHAIN



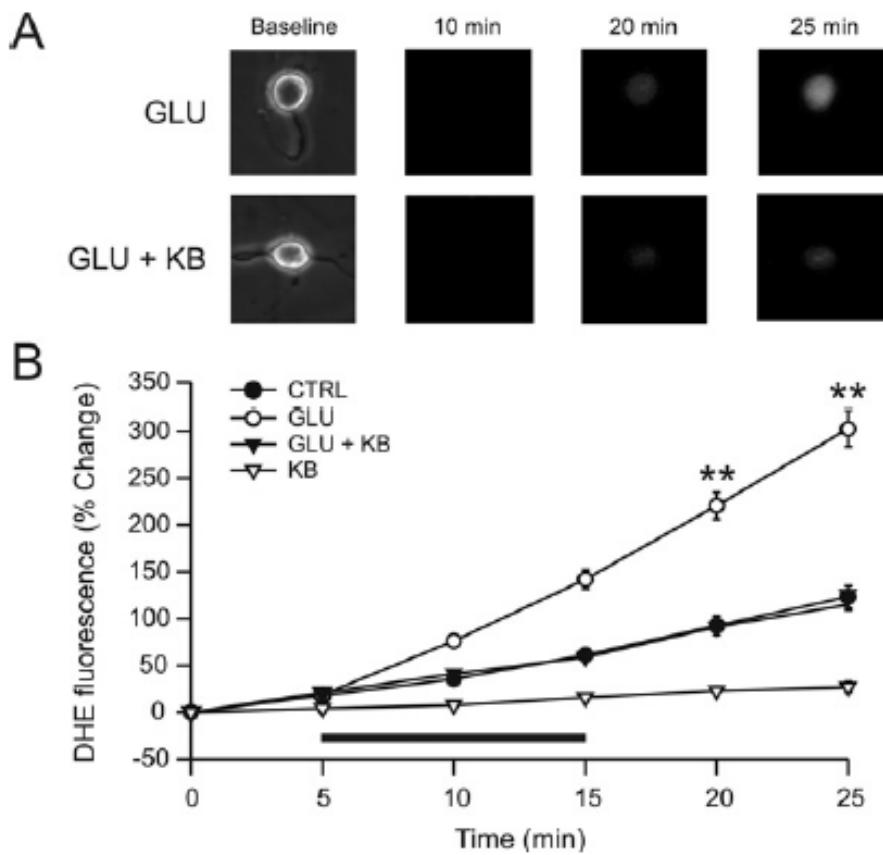
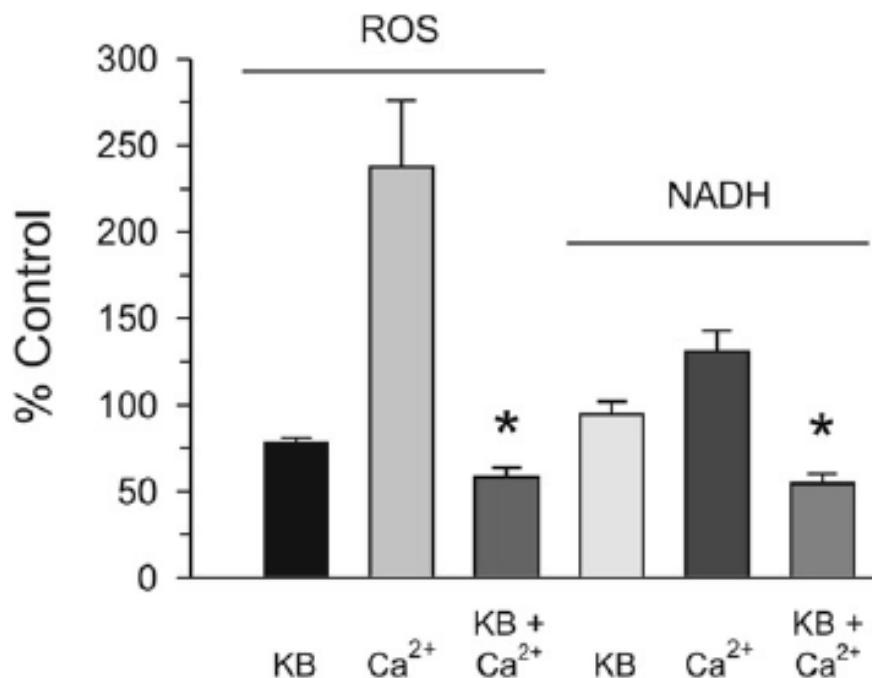
# KD Effects on Mitochondrial Uncoupling Proteins



Sullivan et al, Ann Neurol, 2004

## KETONES INHIBIT MITOCHONDRIAL PRODUCTION OF REACTIVE OXYGEN SPECIES PRODUCTION FOLLOWING GLUTAMATE EXCITOTOXICITY BY INCREASING NADH OXIDATION

M. MAALOUF,<sup>a</sup> P. G. SULLIVAN,<sup>b</sup> L. DAVIS,<sup>b</sup> D. Y. KIM<sup>a</sup>  
AND J. M. RHO<sup>a\*</sup>



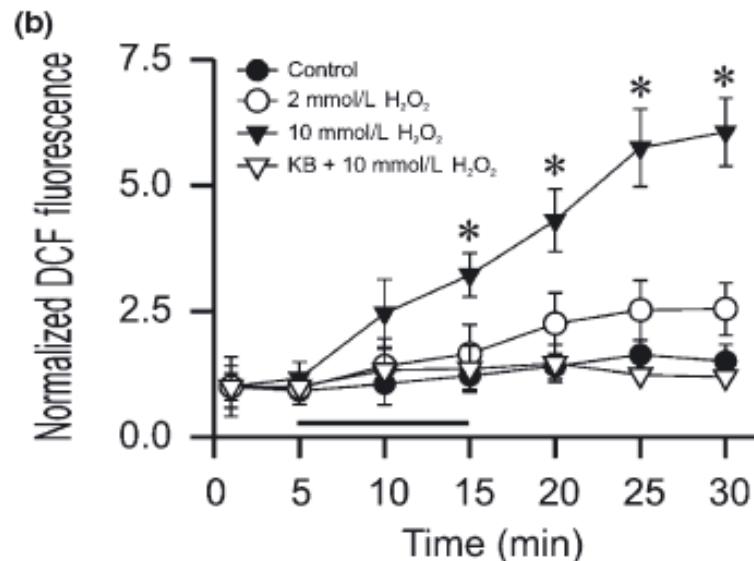
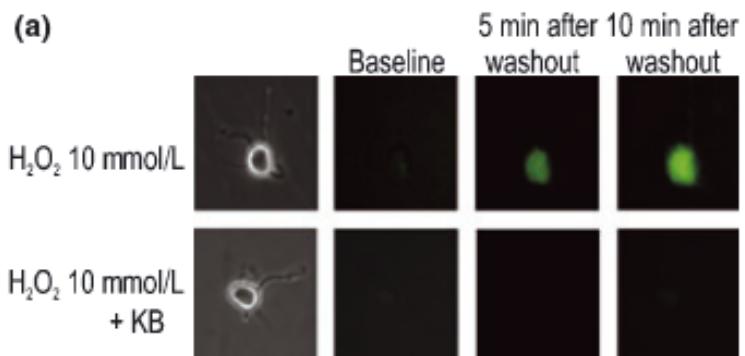
# Ketone Bodies Mitigate Oxidative Stress

Journal of Neurochemistry, 2007, 101, 1316–1326

doi:10.1111/j.1471-4159.2007.04483.x

Ketone bodies are protective against oxidative stress in neocortical neurons

Do Young Kim,\* Laurie M. Davis,† Patrick G. Sullivan,† Marwan Maalouf,\* Timothy A. Simeone,\* Johannes van Brederode‡ and Jong M. Rho\*



# Ketone Body Effects on Mitochondria

Journal of  
Neurochemistry

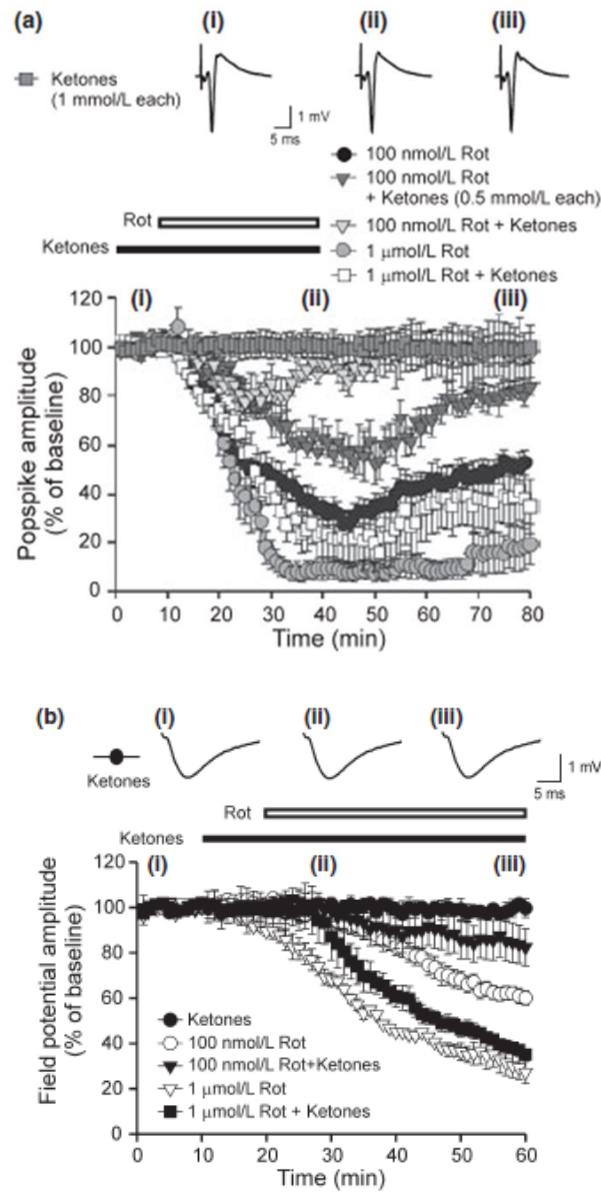
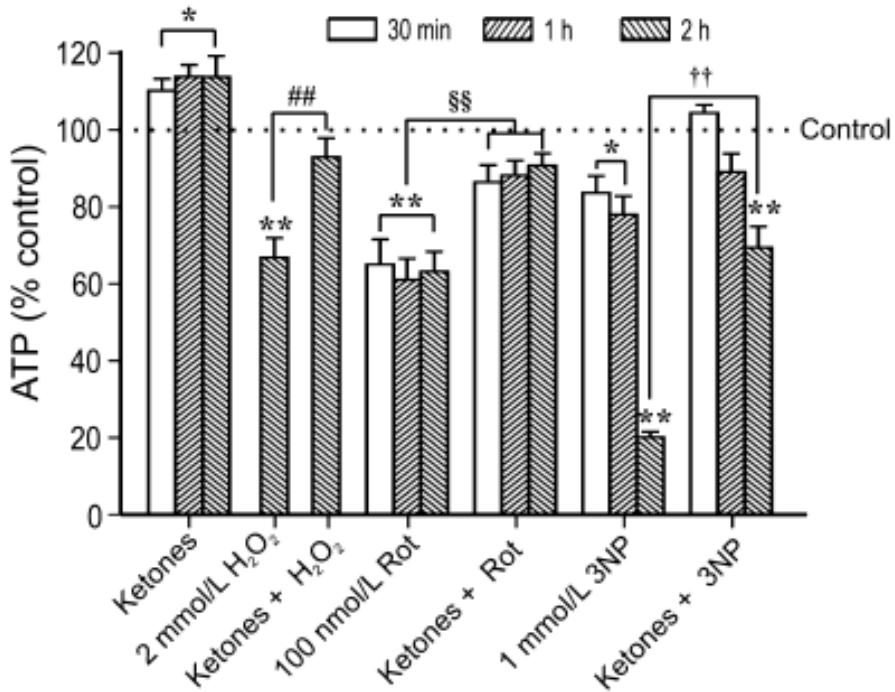
JNC

JOURNAL OF NEUROCHEMISTRY | 2010 | 114 | 130-141

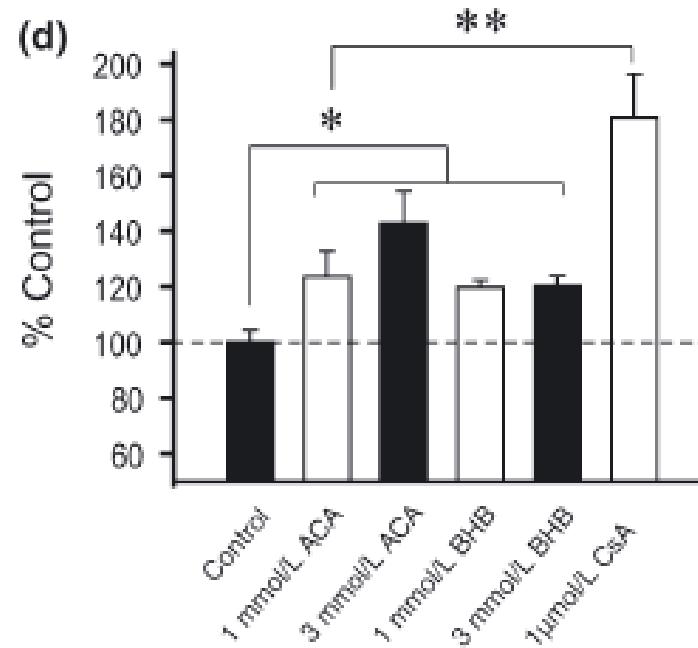
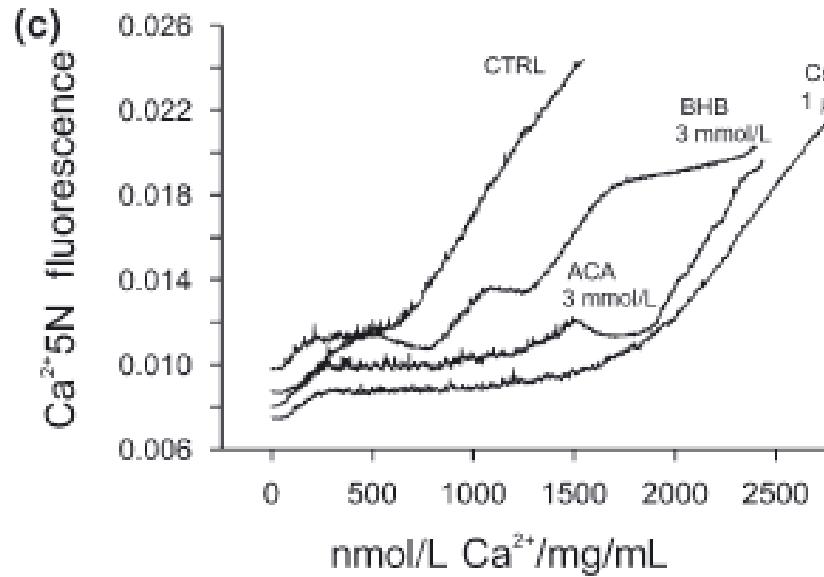
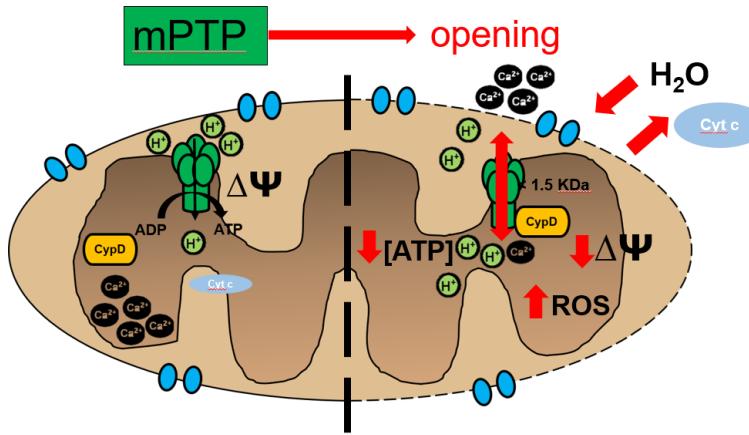
doi: 10.1111/j.1471-4159.2010.06728.x

## Ketones prevent synaptic dysfunction induced by mitochondrial respiratory complex inhibitors

Do Young Kim,\* Johana Vallejo† and Jong M. Rho\*

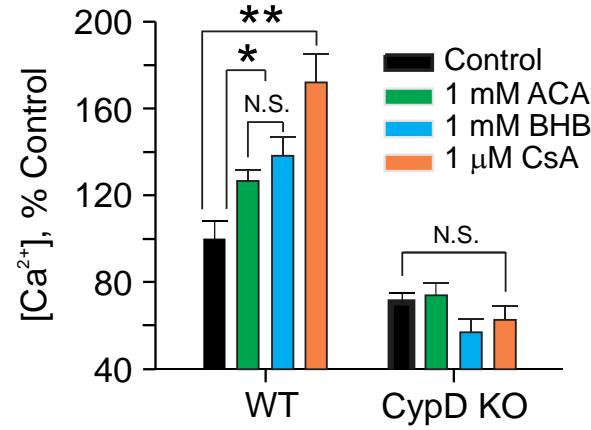
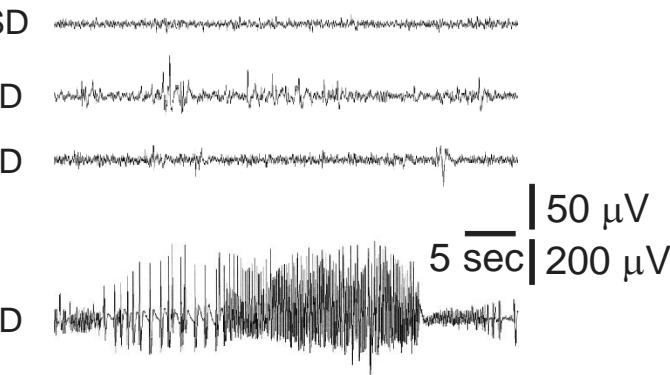
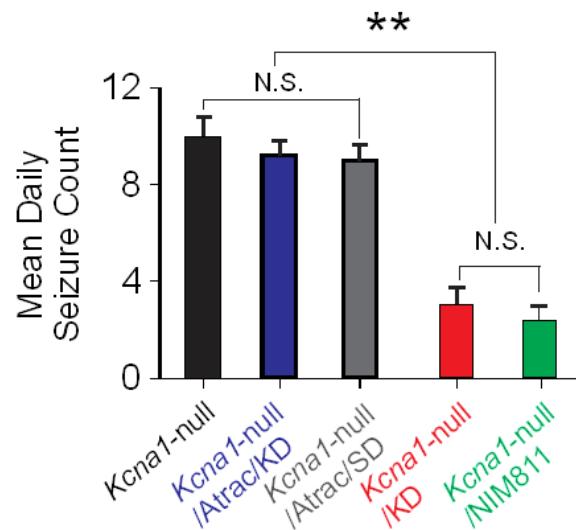
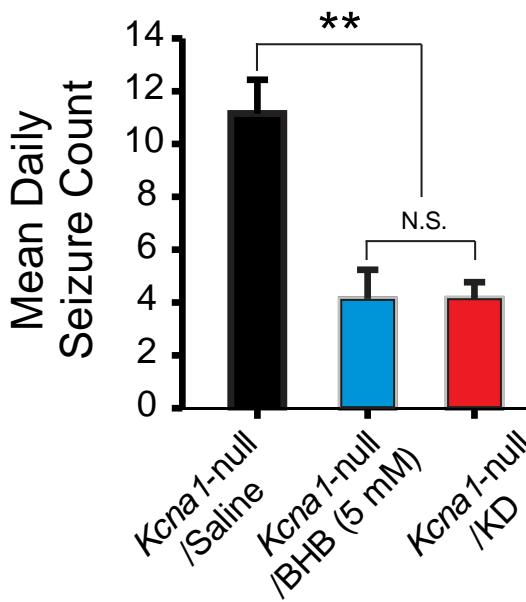


# Ketone Body Effects on Mitochondrial Permeability Transition

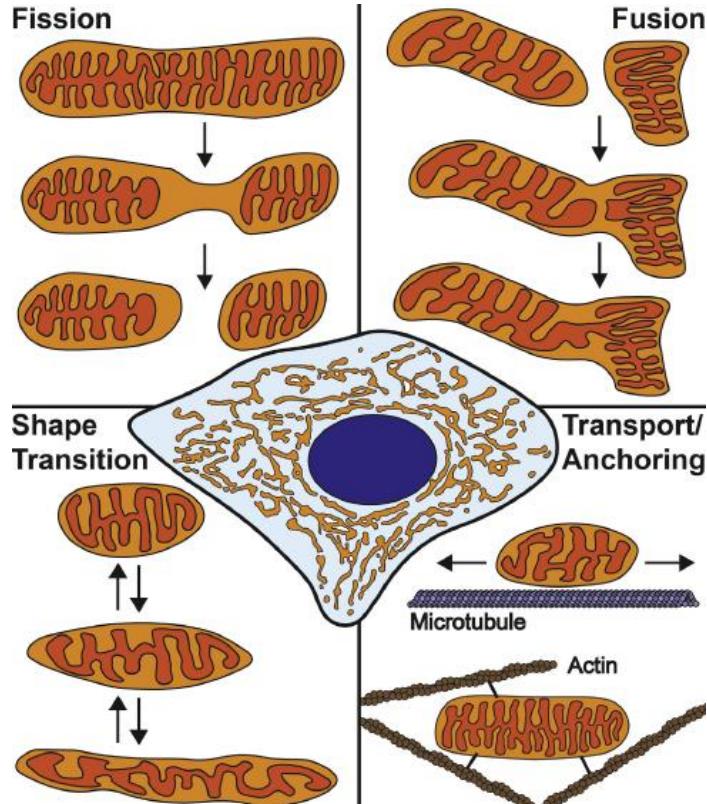


# Ketone Bodies Mediate Antiseizure Effects through Mitochondrial Permeability Transition

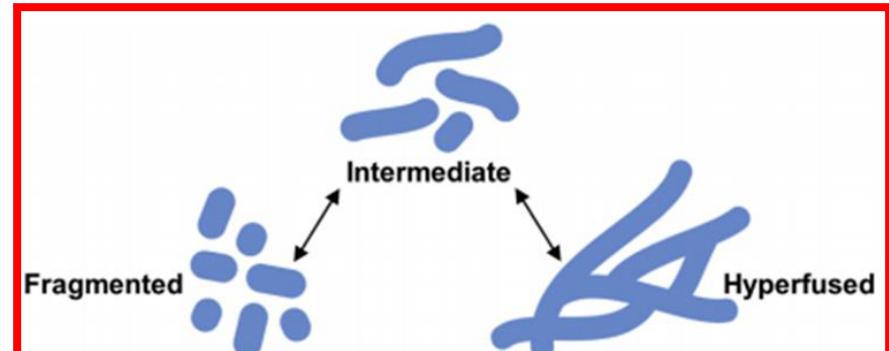
Do Young Kim, DVM, PhD,<sup>1</sup> Kristina A. Simeone, PhD,<sup>2</sup>  
 Timothy A. Simeone, PhD,<sup>2</sup> Jignesh D. Pandya, PhD,<sup>3</sup> Julianne C. Wilke, BS,<sup>1</sup>  
 Younghee Ahn, PhD,<sup>4</sup> James W. Geddes, PhD,<sup>3</sup> Patrick G. Sullivan, PhD,<sup>3</sup> and  
 Jong M. Rho, MD<sup>4</sup>



# Mitochondrial Fusion & Fission Proteins Are Reciprocally Regulated



Fenton et al, Curr Opin Cell Biol 2020



(A) Fragmented mitochondrial networks			(B) Hyperfused mitochondrial networks		
Regulator	▲ Fission	▼ Fusion	Regulator	▼ Fission	▲ Fusion
ERK1/2	• ↑DRP1-pS616	• ↓MFN1-pT562	PKA	• ↑DRP1-pS637	• ↑MFN2-pS442 (?)
O-GlcNAc	• DRP1 O-GlcNAc	• OPA1 O-GlcNAc	AKT	• GSK3β	• OPA1 oligomers and GTPase activity
Acetylation	• ↑DRP1-AcK642	• MFN1-Ac	cAMP	• PKA > ↑DRP1-pS637	• Increased MFN1 expression
MAPL	• DRP1-SUMO	• MFN1/2-Ub	Redox imbalance	• Calcineurin > ↓DRP1-pS637	• SIRT3 > OPA1 deAc
Ca <sup>2+</sup>	• CaMKII/ERK1/2 > ↑DRP1-pS616	• Blocks MFN1 oligomerization	Phosphatidic acid	• NRF2 > DRP1 degradation	• MFN cis oligomers
	• Calcineurin > ↓DRP1-pS637			• 26S proteasome activity	• 26S proteasome dissociation
	• S-OPA1				

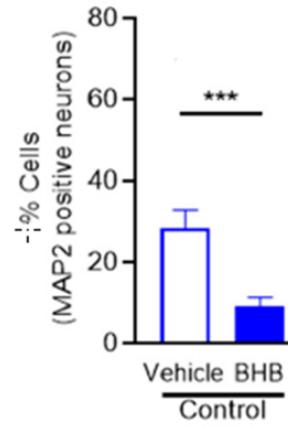
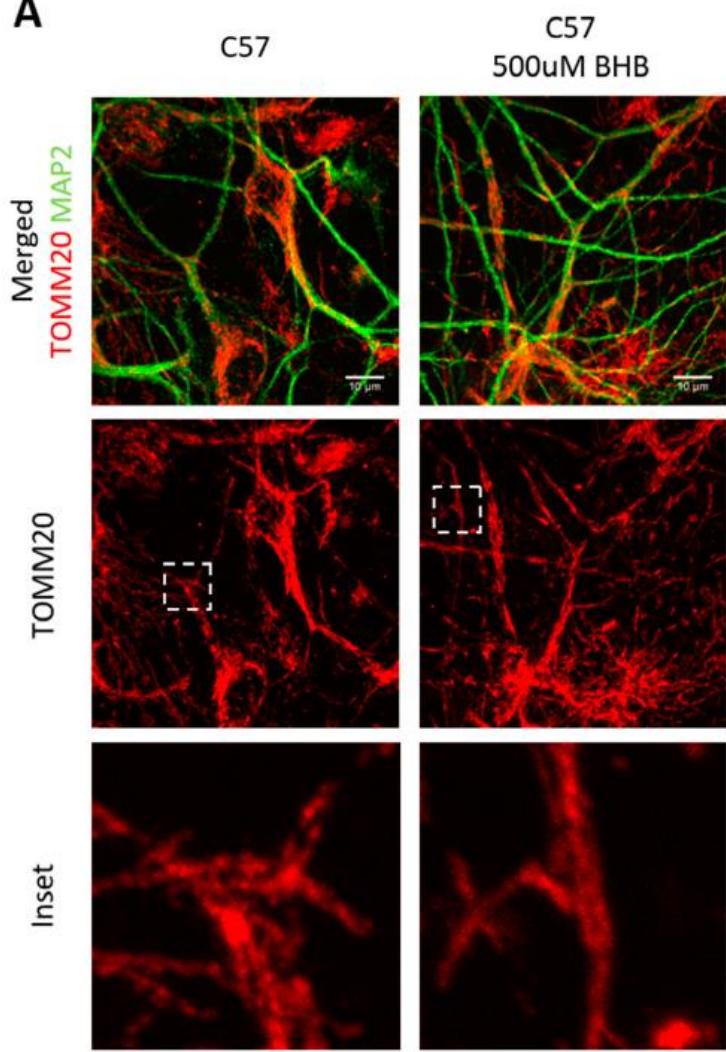
▲ Fission	Regulator	▼ Fission
↑DRP1-pS40/S44	GSK3β	PKA > ↑DRP1-pS637
▼ Fusion	Ubiquitination	▲ Fusion
MFN1/2 degradation		MFN1 oligomers
▲ Fission	Cardiolipin	▲ Fusion
OMM: Promote DRP1 GTPase activity		IMM: Promote OPA1 GTPase activity

(C)

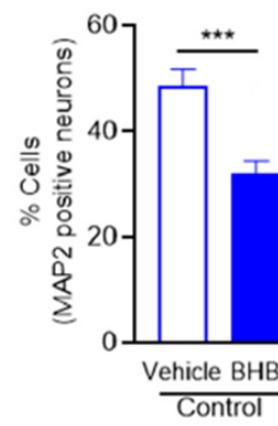
Sabouny & Shutt, Trends Biochem Sci 2020

# Effects of BHB on Mitochondrial Morphology

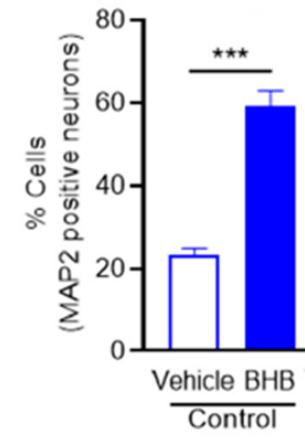
A



*Fragmented*

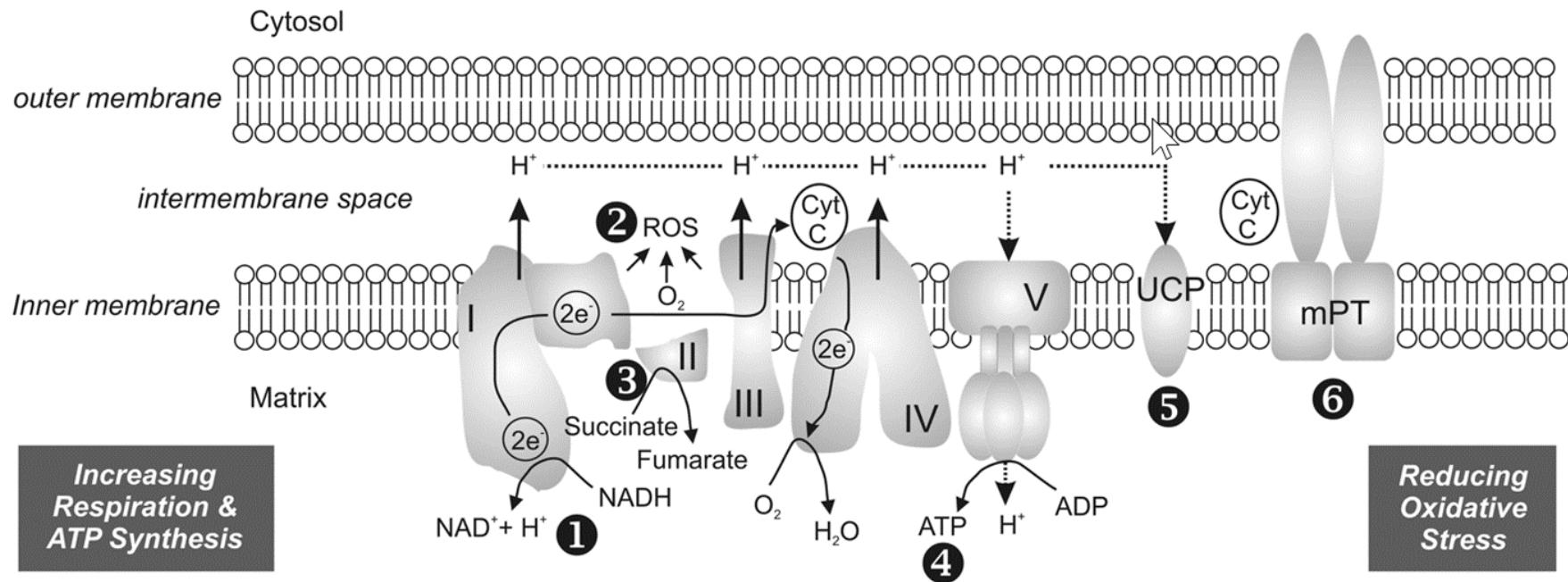
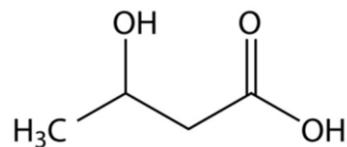


*Intermediate*



*Fused*

# Beta-Hydroxybutyrate: Mitochondrial Effects



1,2. Maalouf et al, Neuroscience 2007

2,3,4. Kim et al, J Neurochem 2010

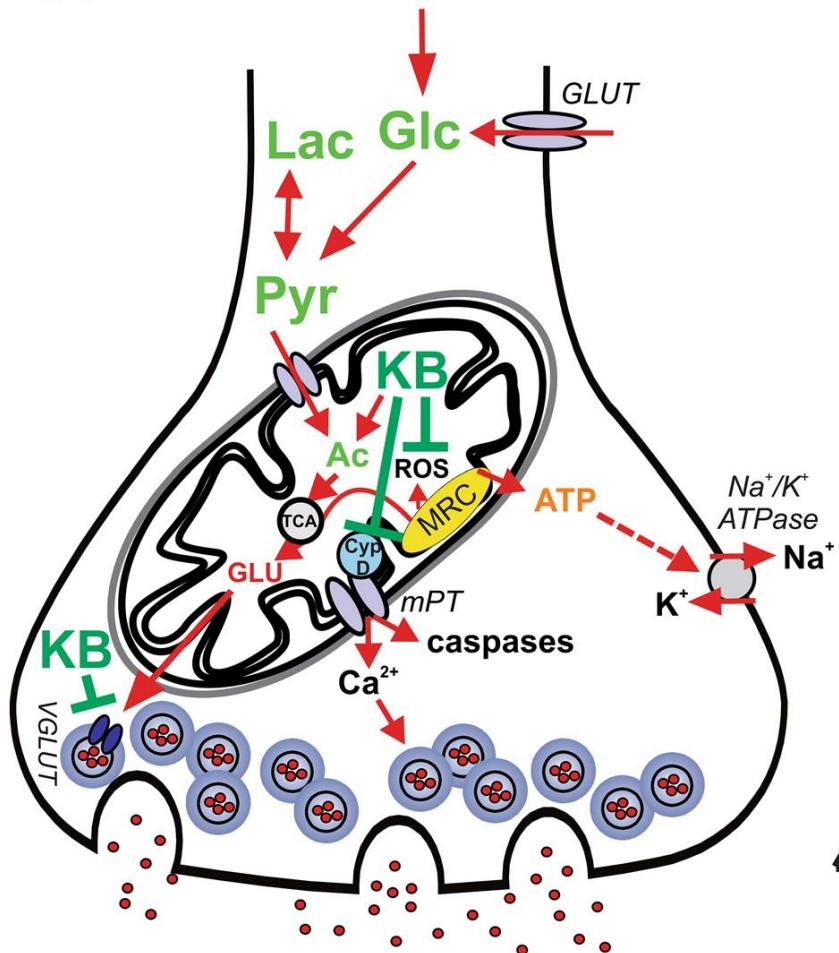
5. Sullivan et al, Ann Neurol 2004

6. Kim et al, J Neurochem 2007 and Kim et al, Ann Neurol 2015

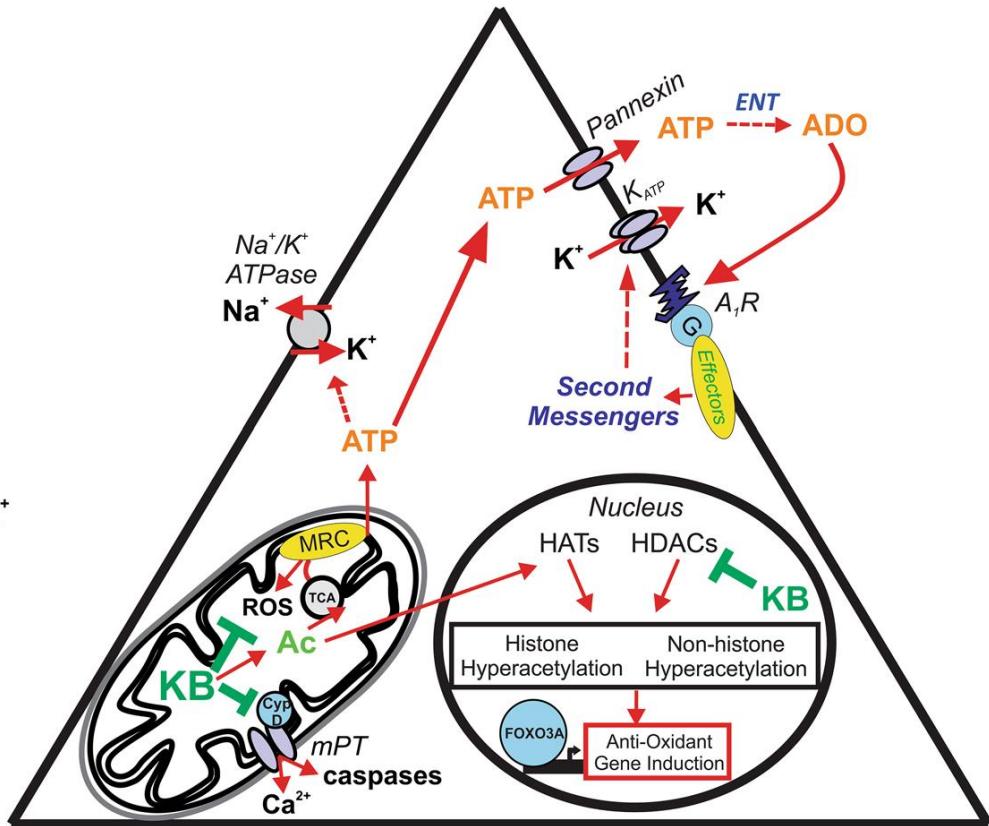
Adapted from Masino & Rho, Adv Neurol 2012

# Ketone Bodies as Anti-Seizure Agents: A Mechanistic Summary

A



B



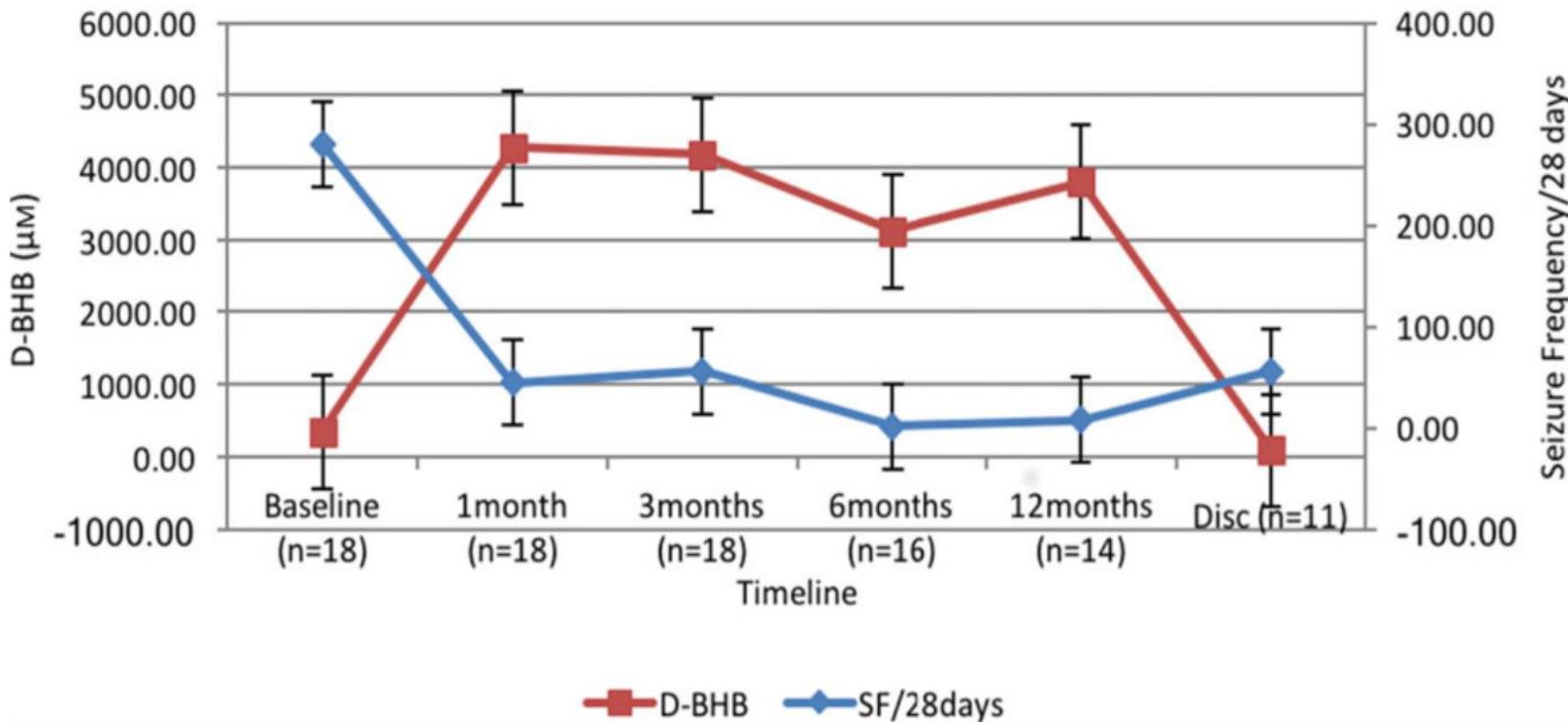
# Ketone Body Efficacy in Models of Seizures & Hyperexcitability

	<b>In vivo</b>	Acetone	AcAc	BHB	<b>In vitro</b>	Acetone	AcAc	BHB
<u><i>Induced Seizures</i></u>								
<u><i>Cellular and population excitability</i></u>								
Thujone	+	+	-	-	mEPSCs	N.D.	+	N.D.
Audiogenic	+	+ (BD-AcAc2, AS mice)	-	-	Field Potentials	N.D.	-	-
MES	+	N.D.	N.D.	-	Population Spikes	N.D.	-	-
PTZ	+	+ (BD-AcAc2)	N.D.	-	LTP	N.D.	-	-
Amygdala Kindling	+	N.D.	N.D.	-	<u><i>Mitochondrial mediated synaptic dysfunction</i></u>			
AY-9944	+	N.D.	N.D.	-	ETC inhibitors	N.D.	+	+
4-AP	+	+	N.D.	-	ROS	N.D.	+	+
Li-pilo SE	+	N.D.	N.D.	-	<u><i>Induced Seizure-like events in slices</i></u>			
Hyperbaric Oxygen	N.D.	+ (BD-AcAc2)	- (1,3-butandiol)	-	4-AP	N.D.	N.D.	-
Kainate	I	+ (BD-AcAc2, AS mice)	N.D.	-	Low Mg <sup>2+</sup>	N.D.	N.D.	-
Repeated fluroethyl	I	N.D.	N.D.	+ (rat neonates)	PTZ	N.D.	-	-
<u><i>Spontaneous Seizures</i></u>								
Intrahippocampal Kainate	N.D.	+	N.D.	-	bicuculline	I N.D.	N.D.	-
betamethasone-NMDA	N.D.	N.D.	+	High frequency stimulation	N.D.	N.D.	-	-
Kcna1-null mice	N.D.	N.D.	+	OGD	N.D.	N.D.	+	+
<i>Kcna1-null mice</i>								

*Abbreviations: AcAc, acetoacetate; BHB,  $\beta$ -hydroxybutyrate; MES, maximal electroshock; PTZ, pentylenetetrazole; 4-AP, 4-aminopyridine; Li-pilo, lithium pilocarpine; SE, status epilepticus; HFS, high-frequency stimulation; OGD, Oxygen-glucose deprivation; N.D., not determined; +, attenuation effect; -, inactive; BD-AcAc2, R,S-1,3-butanediol acetoacetate diester; AS, Angelman syndrome; mEPSC, miniature excitatory post-synaptic current; LTP, long-term potentiation; ETC, electron transport chain; ROS, reactive oxygen species.*

# Do Ketone Body Levels Correlate With Seizure Control?

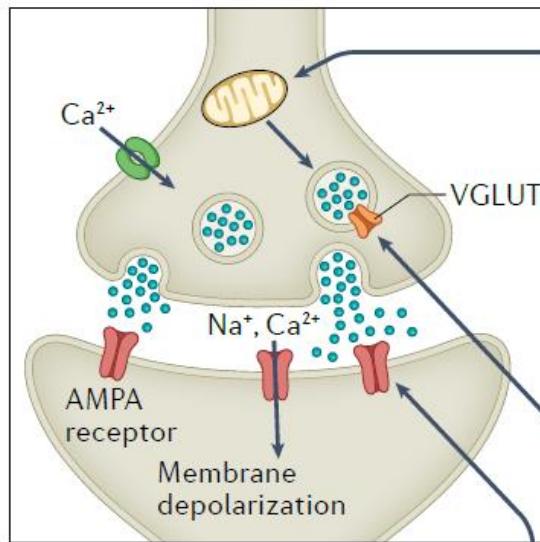
## Median D-BHB and Seizure Frequency/28 days



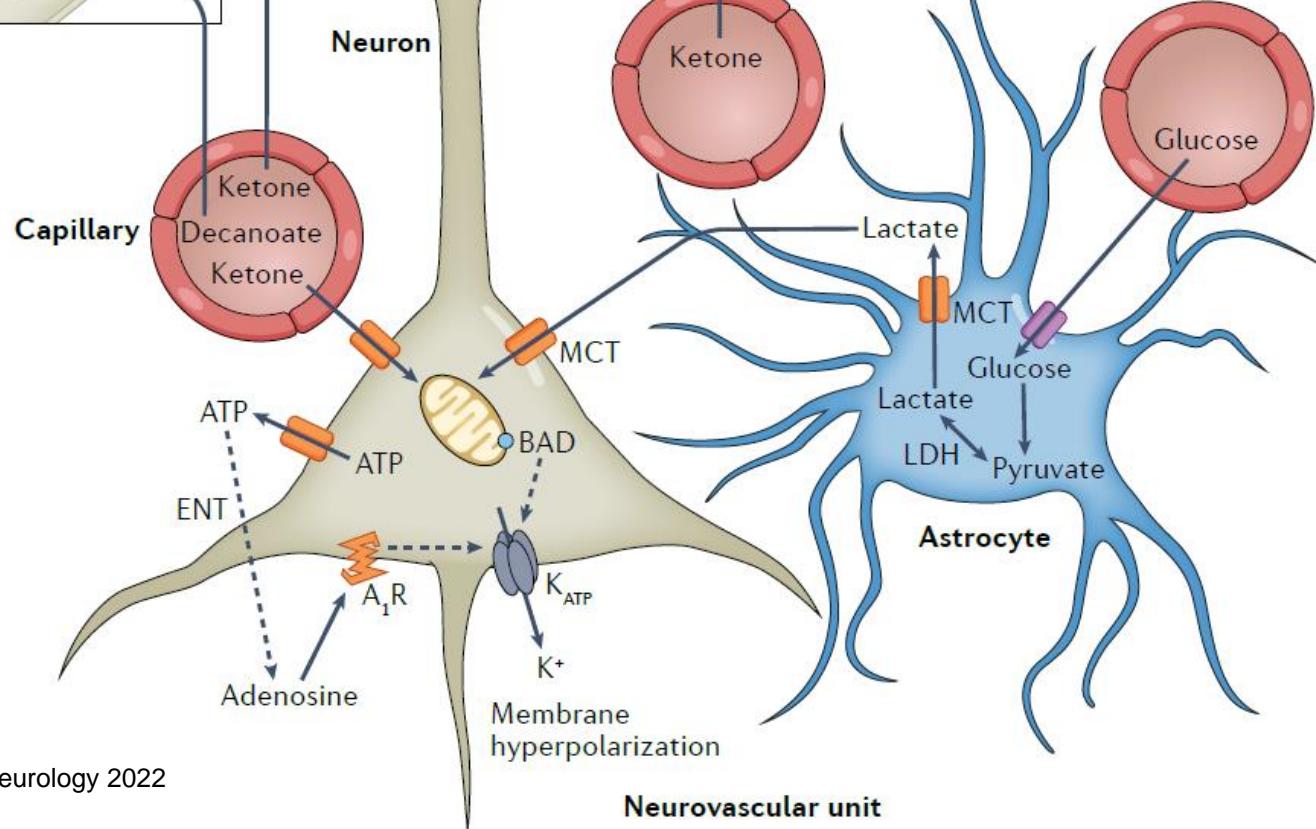
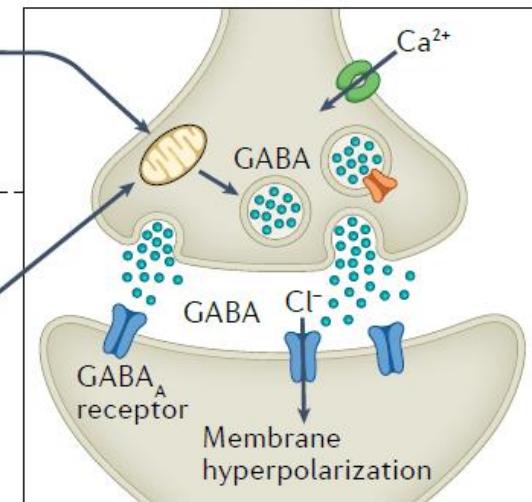
Buchhalter et al, Epilepsia Open 2017

# **Ketogenic Diet Mechanisms: Metabolism at the Synapse**

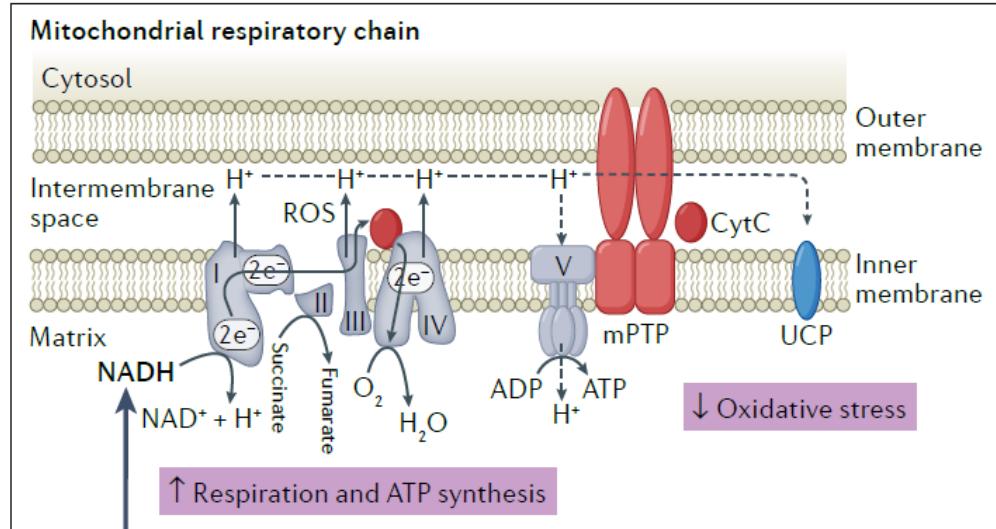
### Excitatory synapse



### Inhibitory synapse

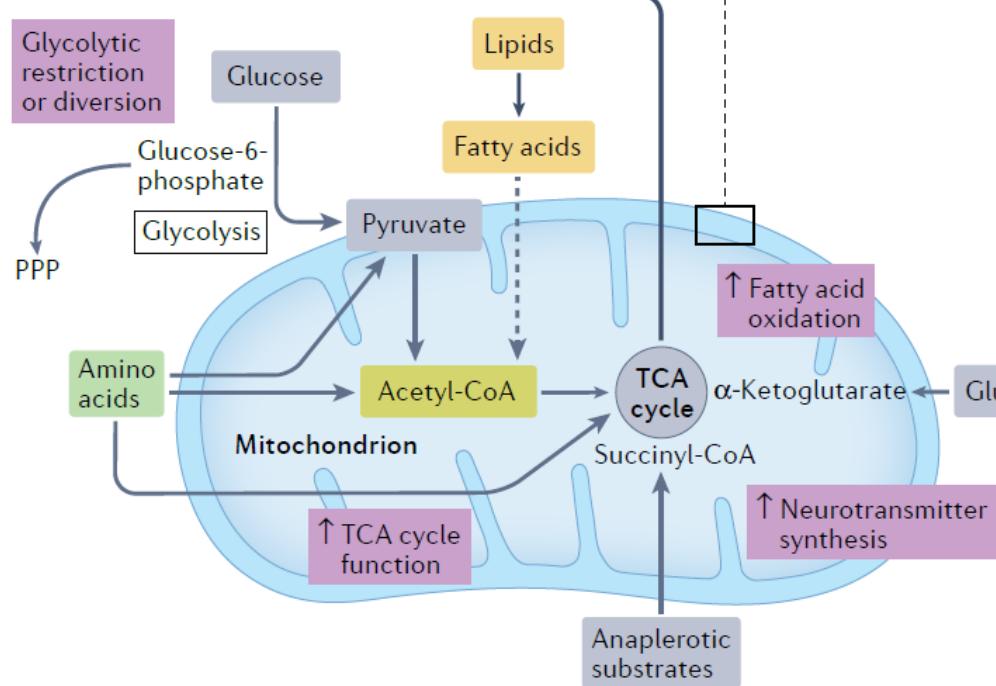
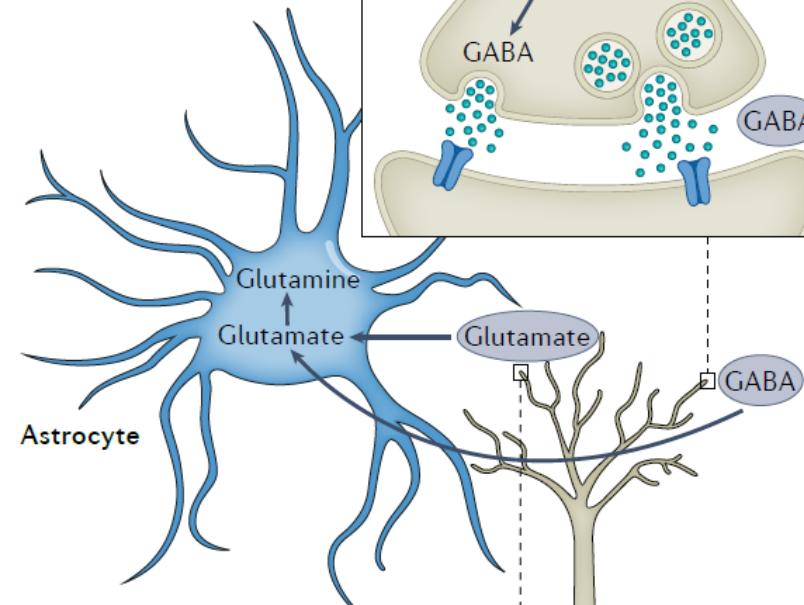
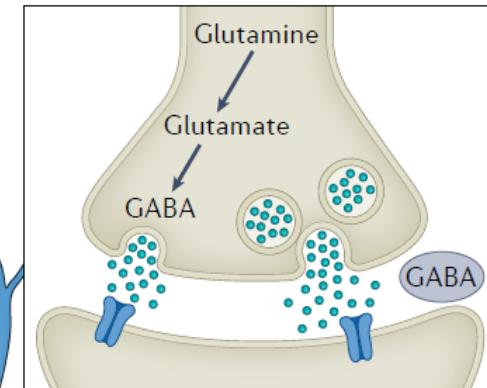


### a Electron transport chain and oxidative phosphorylation



### b

### Inhibitory synaptic terminal



# **The Ketogenic Diet and the Gut Microbiome**

# The Gut Microbiota Mediates the Anti-Seizure Effects of the Ketogenic Diet

Cell 2018

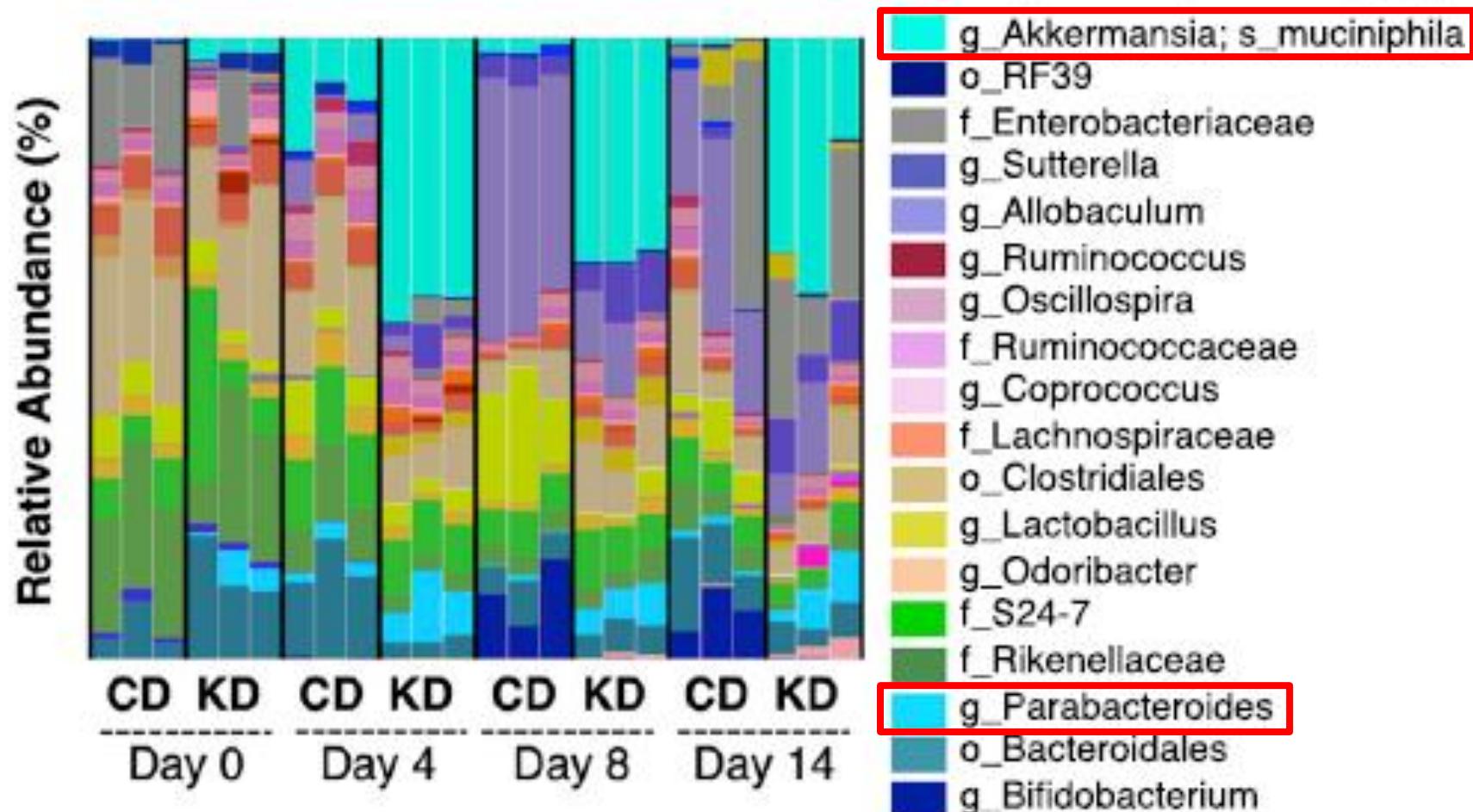
Christine A. Olson,<sup>1</sup> Helen E. Vuong,<sup>1</sup> Jessica M. Yano,<sup>1</sup> Qingxing Y. Liang,<sup>1</sup> David J. Nusbaum,<sup>1</sup> and Elaine Y. Hsiao<sup>1,2,\*</sup>

<sup>1</sup>Department of Integrative Biology and Physiology, University of California, Los Angeles, Los Angeles, CA 90095, USA

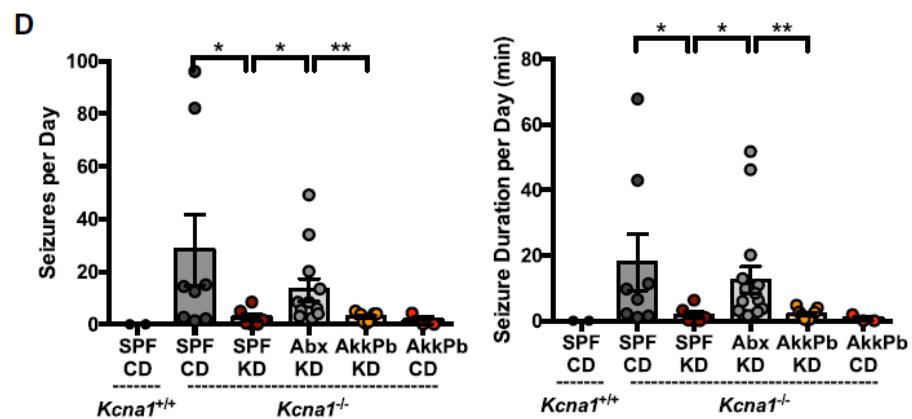
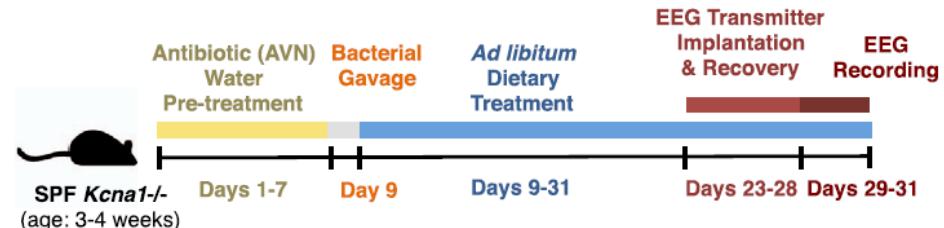
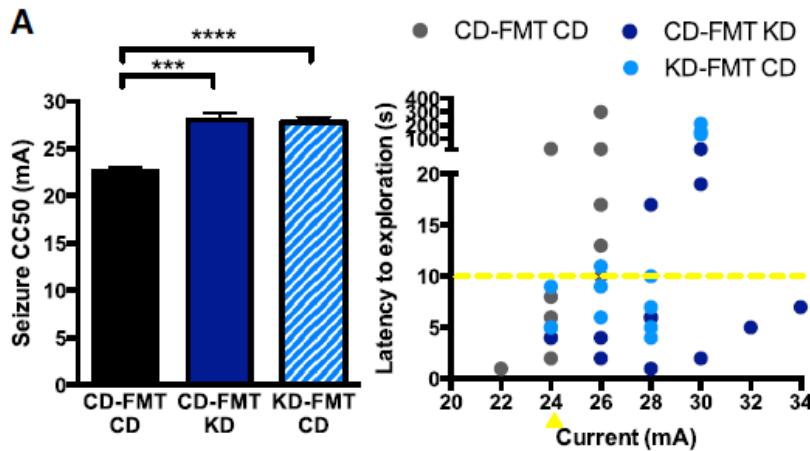
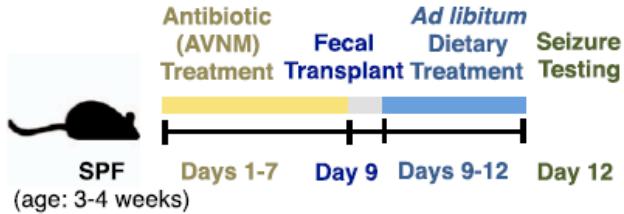
<sup>2</sup>Lead Contact

\*Correspondence: ehsiao@ucla.edu

<https://doi.org/10.1016/j.cell.2018.04.027>



# Fecal Microbiome Transplantation and Bacterial Enrichment



# The Gut Microbiota Mediates the Anti-Seizure Effects of the Ketogenic Diet

Cell

2018

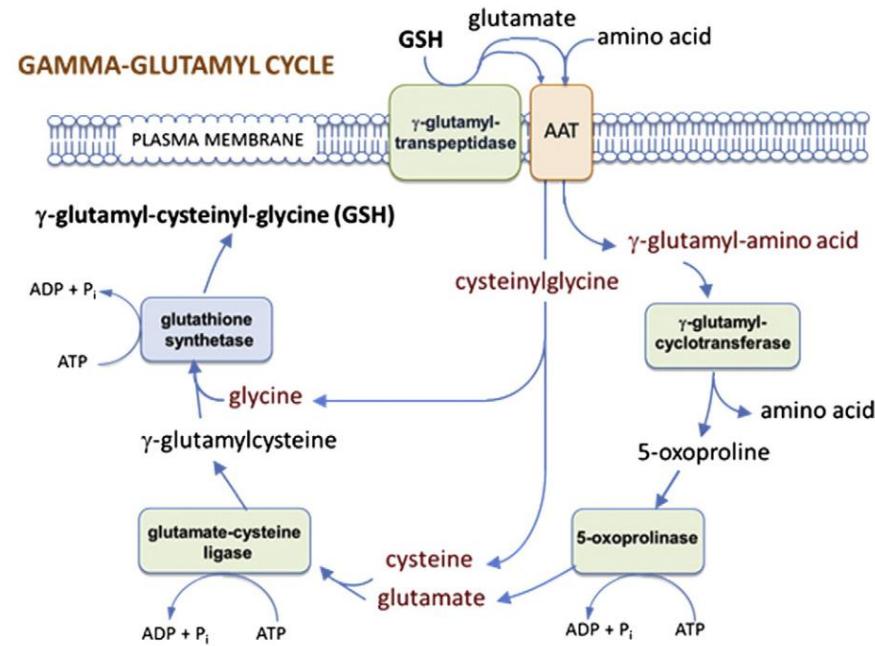
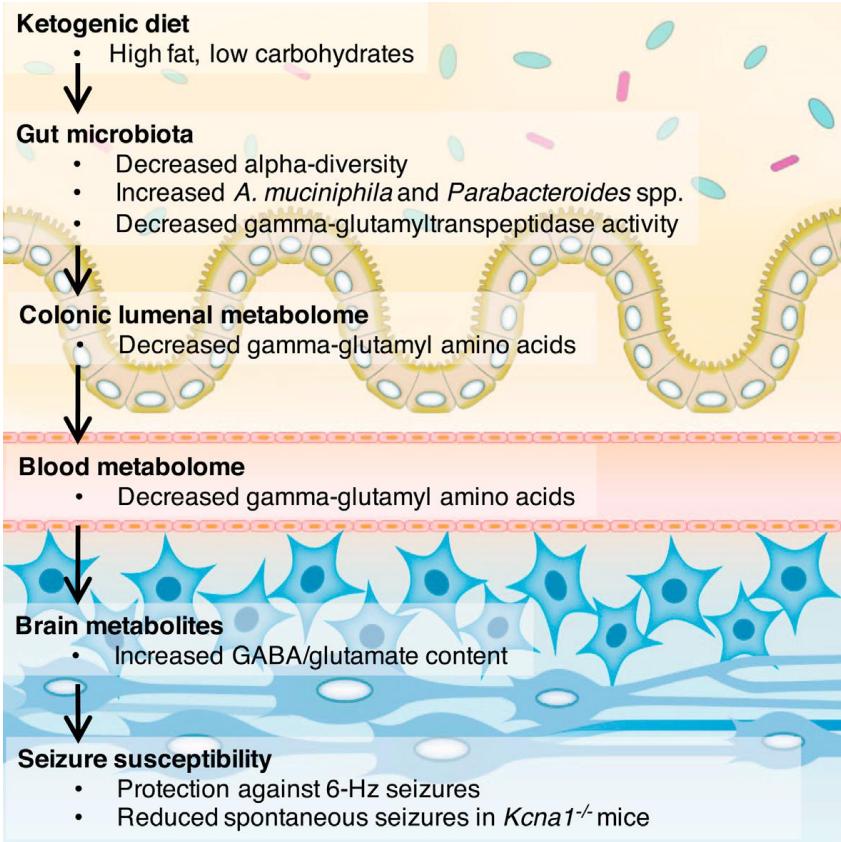
Christine A. Olson,<sup>1</sup> Helen E. Vuong,<sup>1</sup> Jessica M. Yano,<sup>1</sup> Qingxing Y. Liang,<sup>1</sup> David J. Nusbaum,<sup>1</sup> and Elaine Y. Hsiao<sup>1,2,\*</sup>

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<https://doi.org/10.1016/j.cell.2018.04.027>



<https://flipper.diff.org/app/pathways/info/8031>

# The Gut Microbiota Mediates the Anti-Seizure Effects of the Ketogenic Diet

Cell 2018

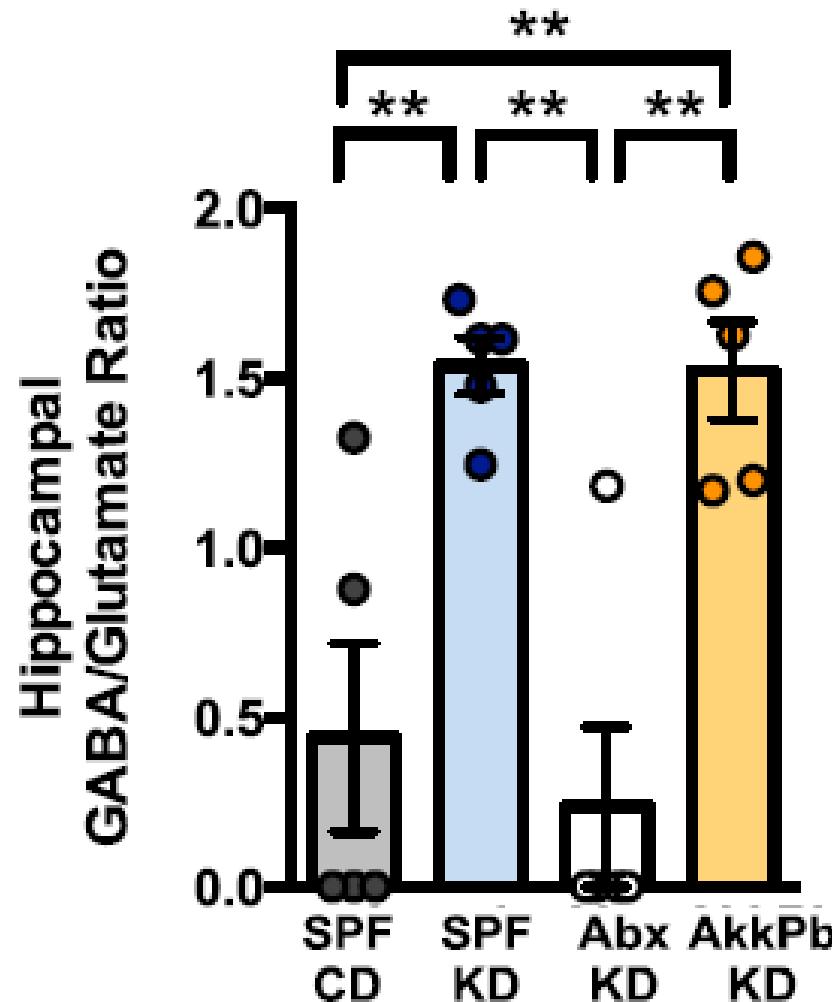
Christine A. Olson,<sup>1</sup> Helen E. Vuong,<sup>1</sup> Jessica M. Yano,<sup>1</sup> Qingxing Y. Liang,<sup>1</sup> David J. Nusbaum,<sup>1</sup> and Elaine Y. Hsiao<sup>1,2,\*</sup>

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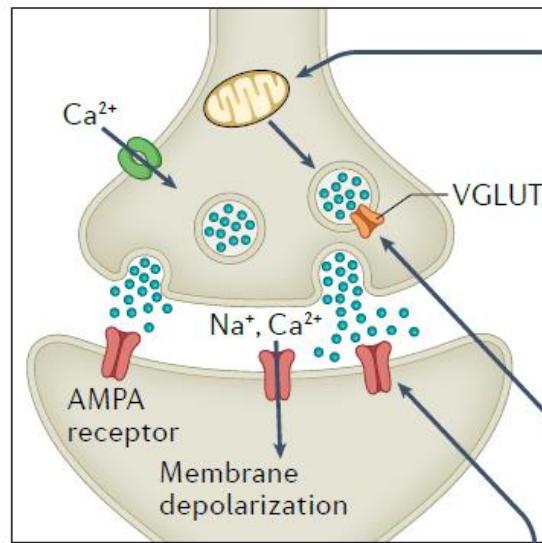
<sup>2</sup>Lead Contact

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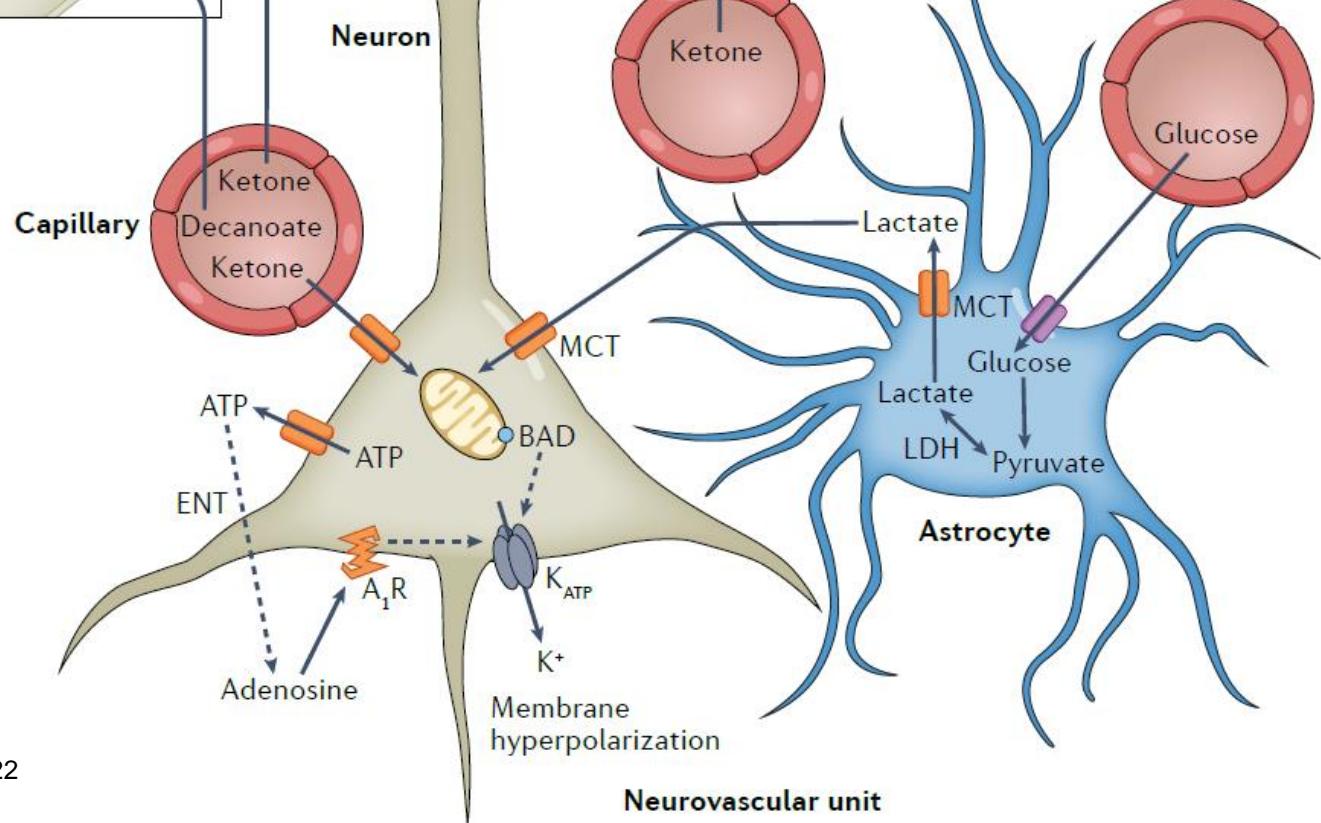
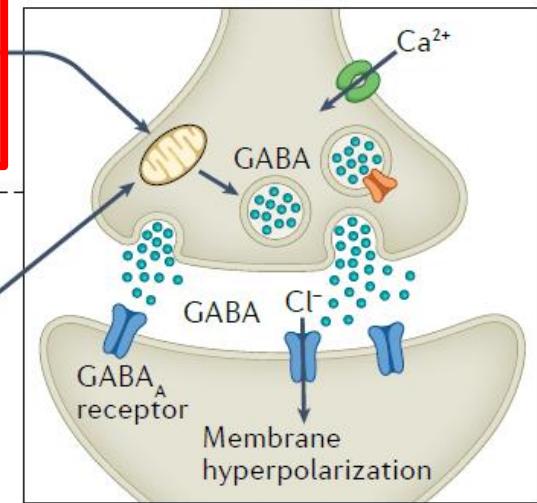
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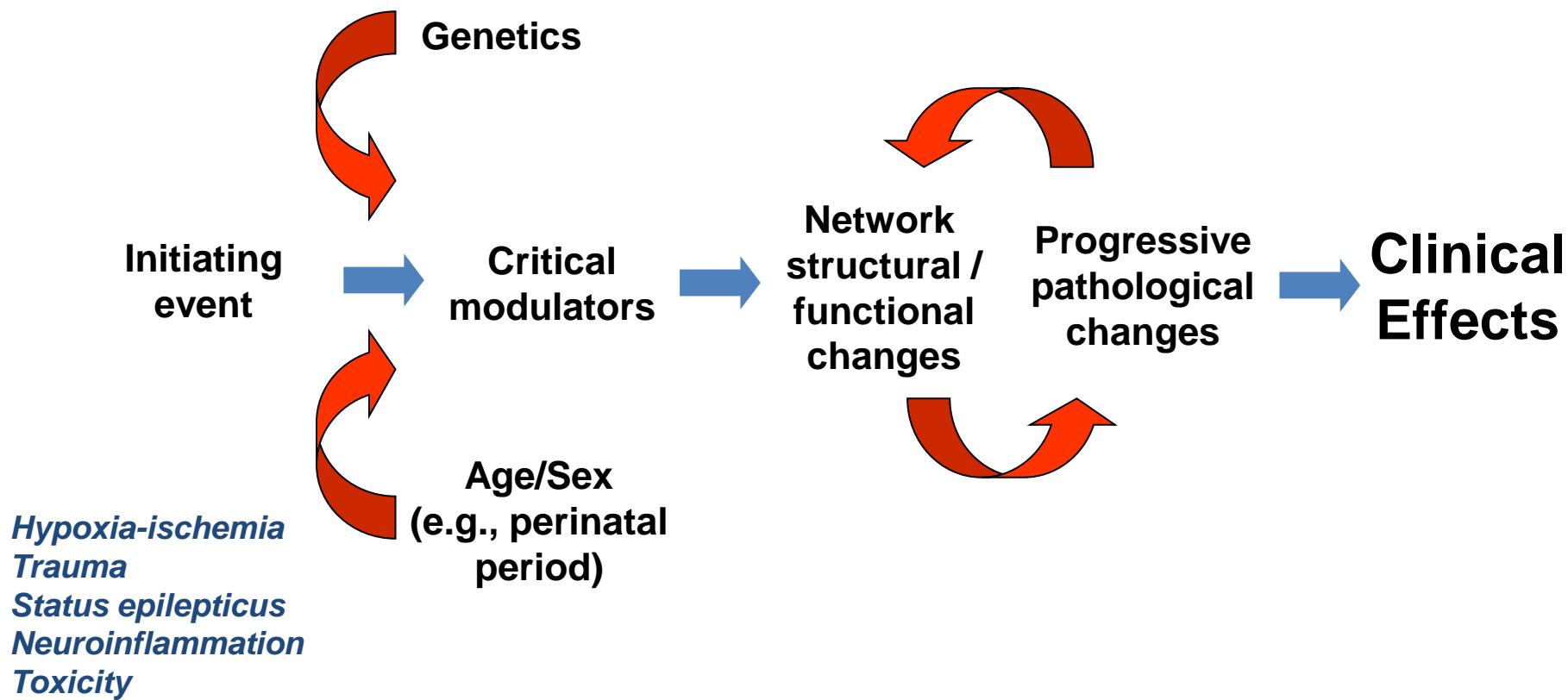
### Excitatory synapse



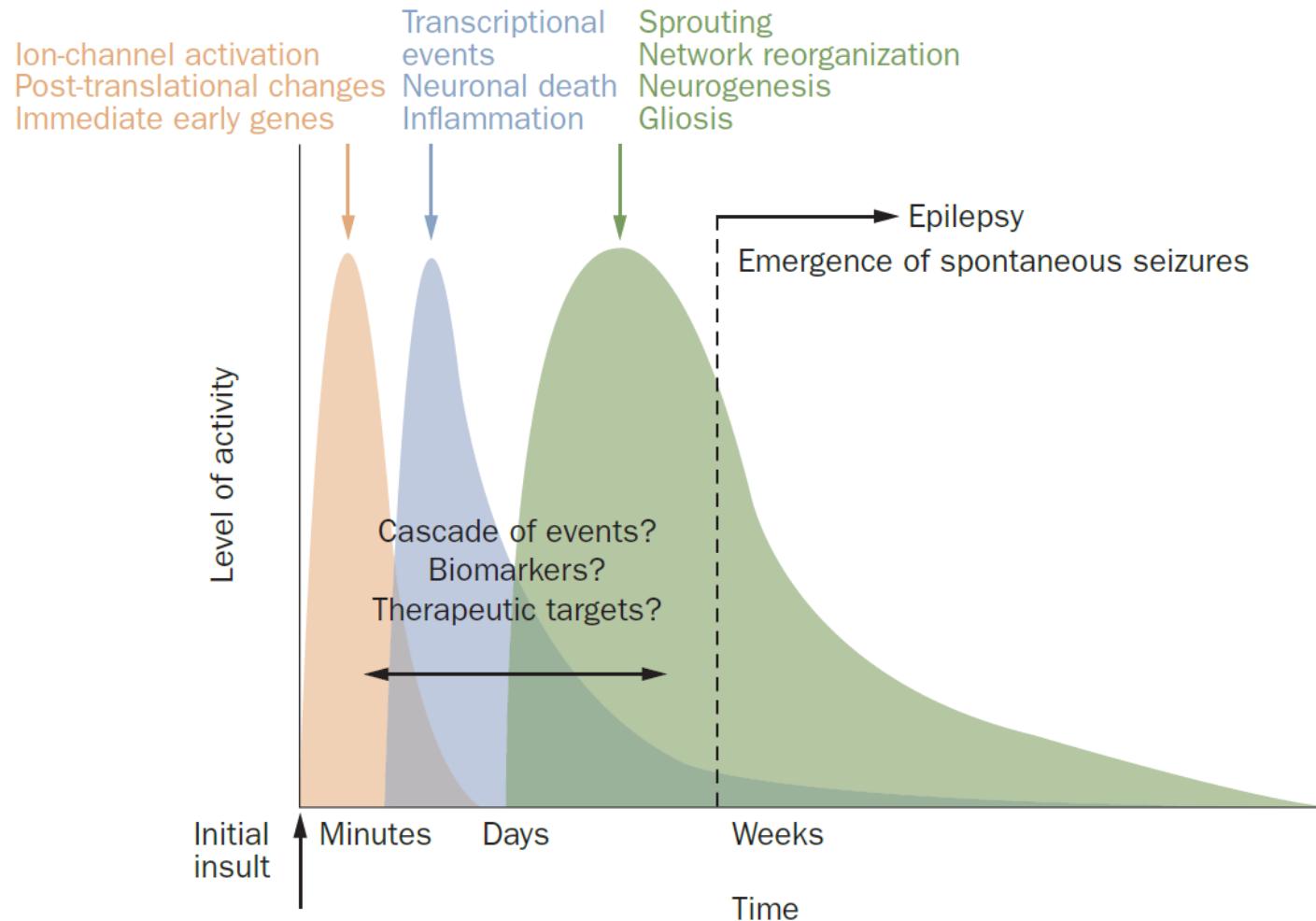
### Inhibitory synapse



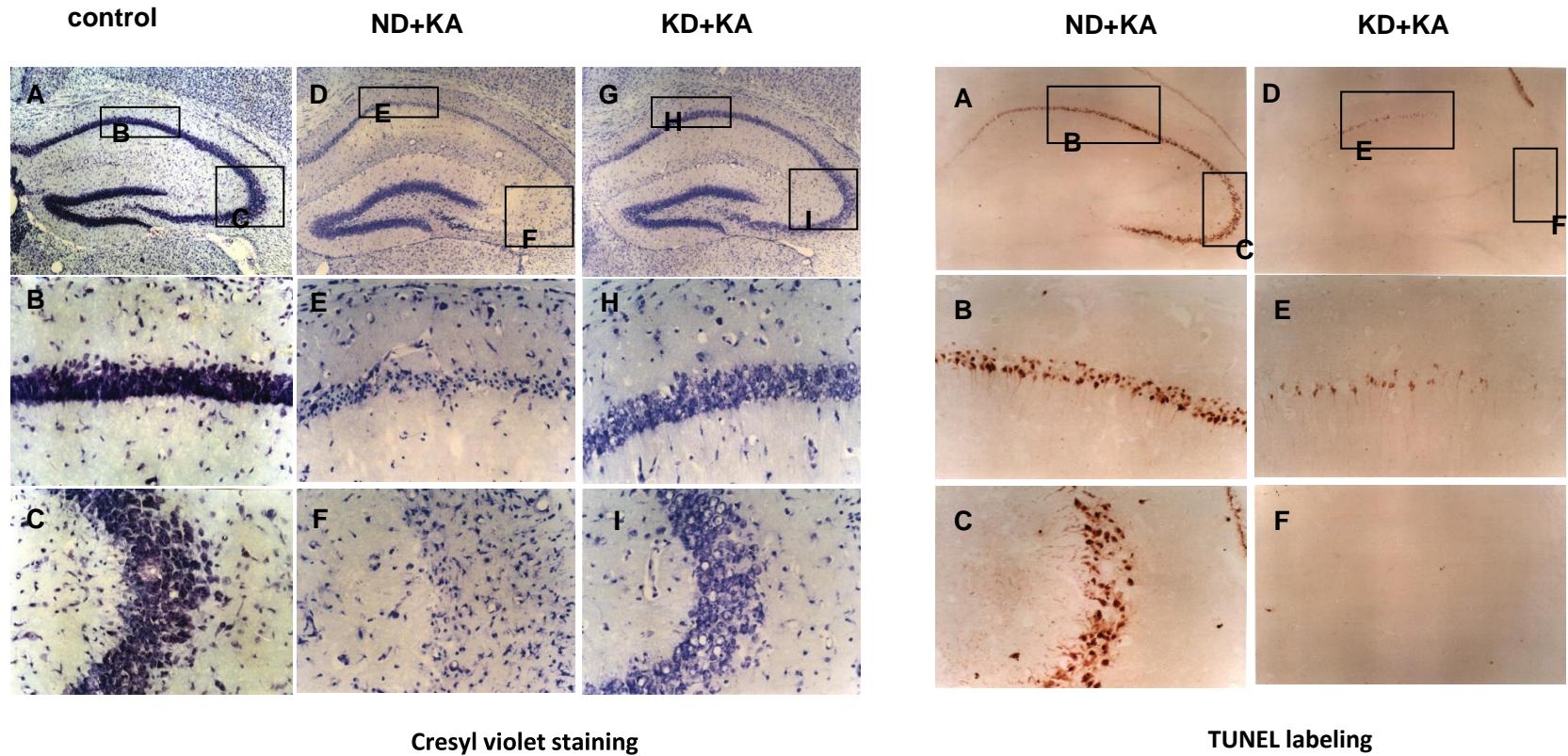
# Neuroprotection & Disease Modification



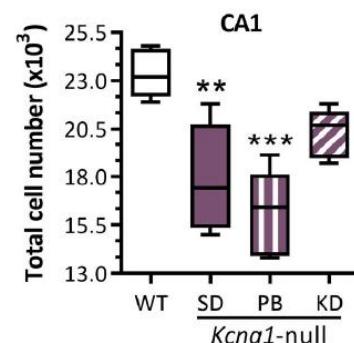
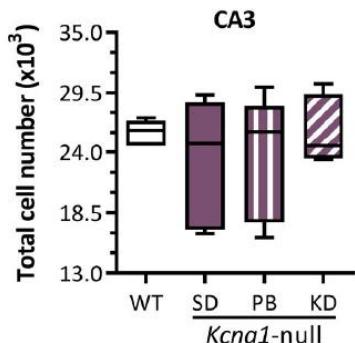
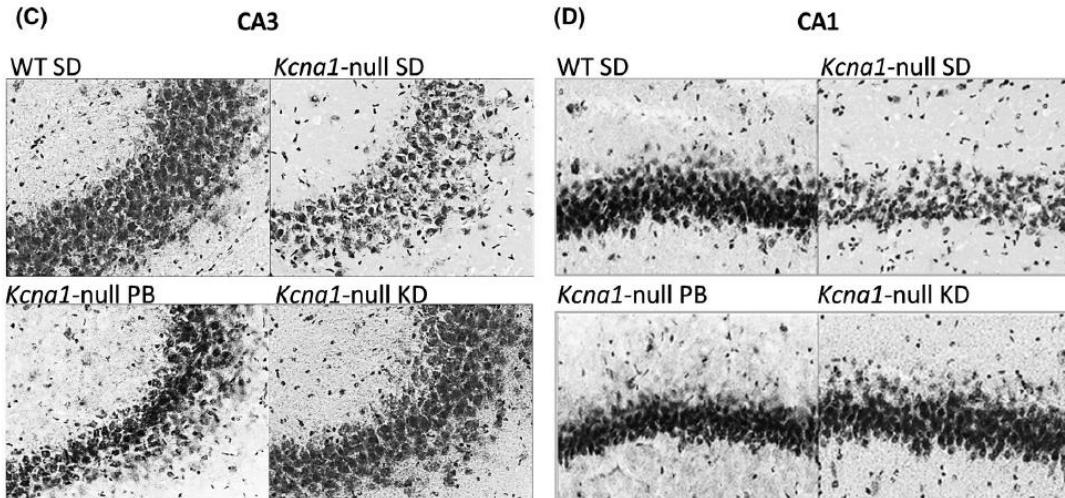
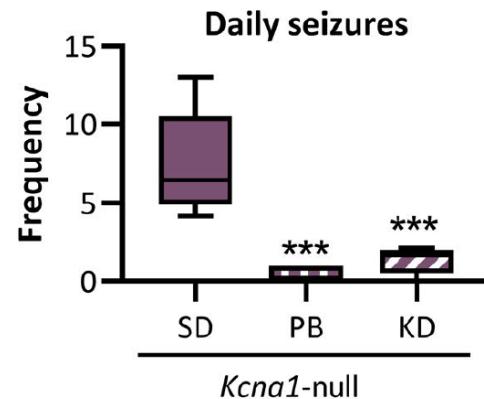
# Epileptogenesis



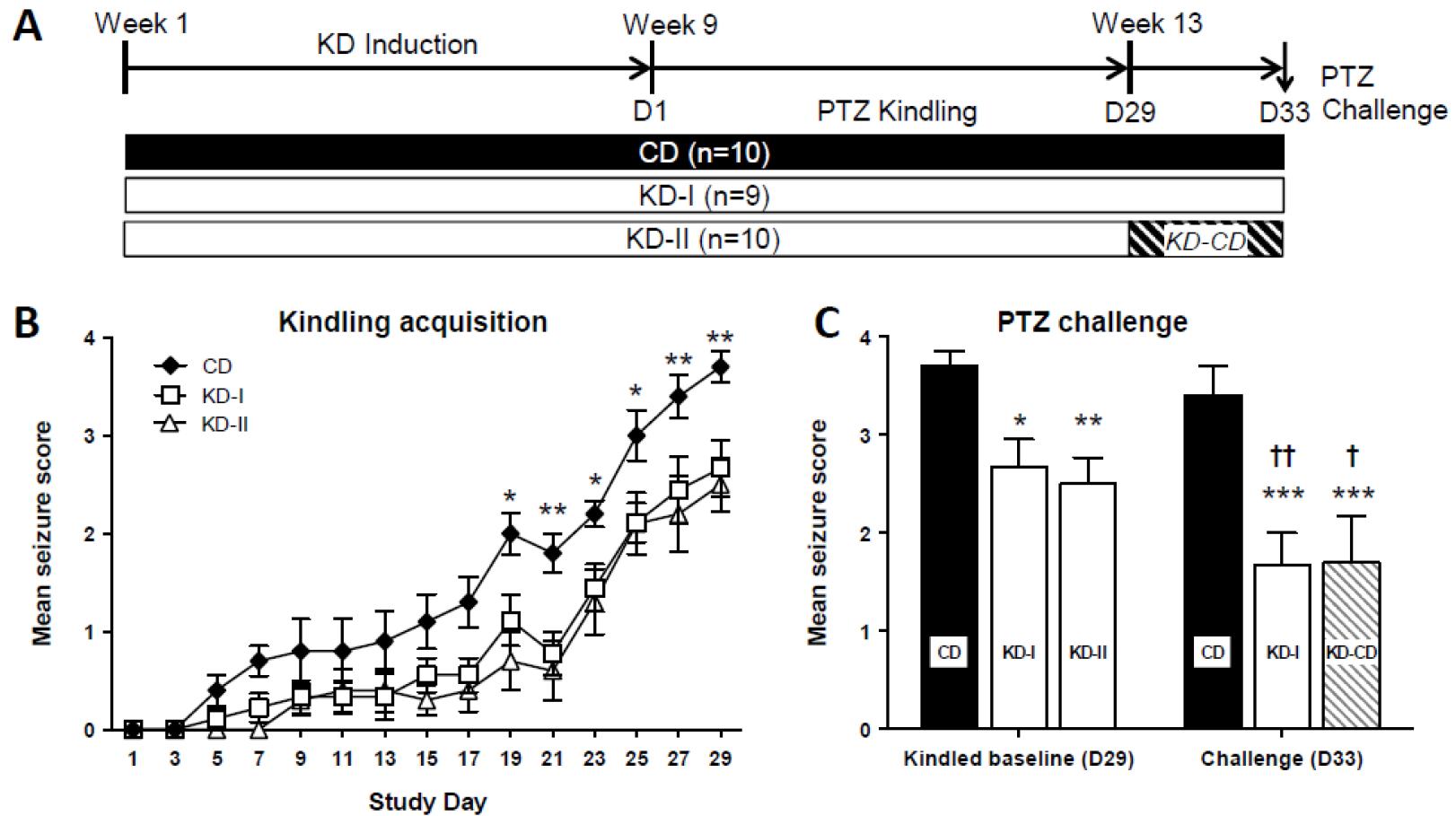
# Ketogenic Diet Protects Against Kainic Acid-Induced Cell Death



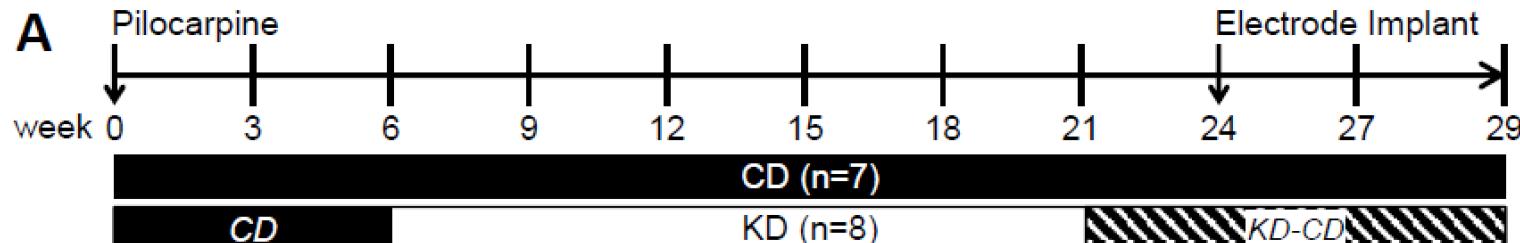
## Ketogenic diet-mediated seizure reduction preserves CA1 cell numbers in epileptic *Kcna1*-null mice: An unbiased stereological assessment

Kristina A. Simeone<sup>1</sup> | Julianne C. Wilke<sup>2,3</sup> | Stephanie A. Matthews<sup>1</sup> |Timothy A. Simeone<sup>1</sup> | Jong M. Rho<sup>2,3,4</sup> 

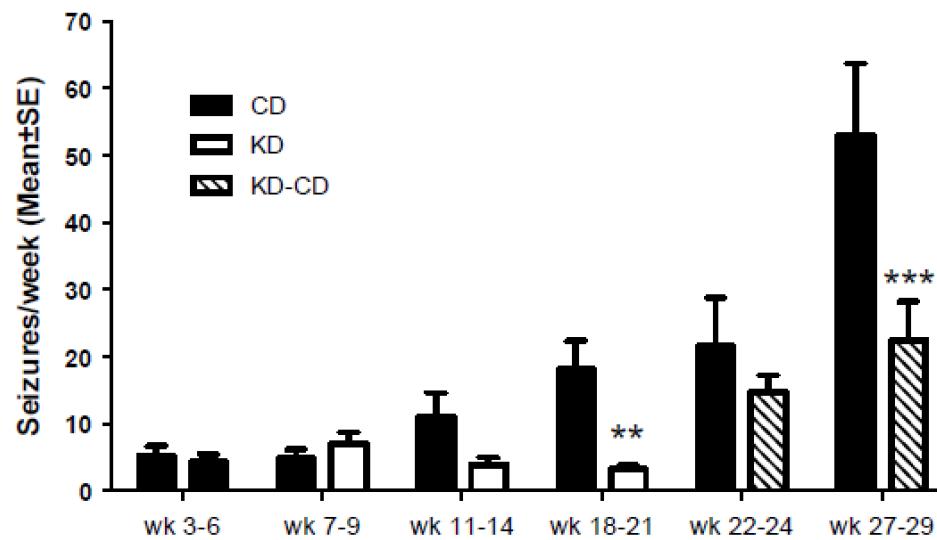
# Disease Modification: KD Prevents Kindling Epileptogenesis



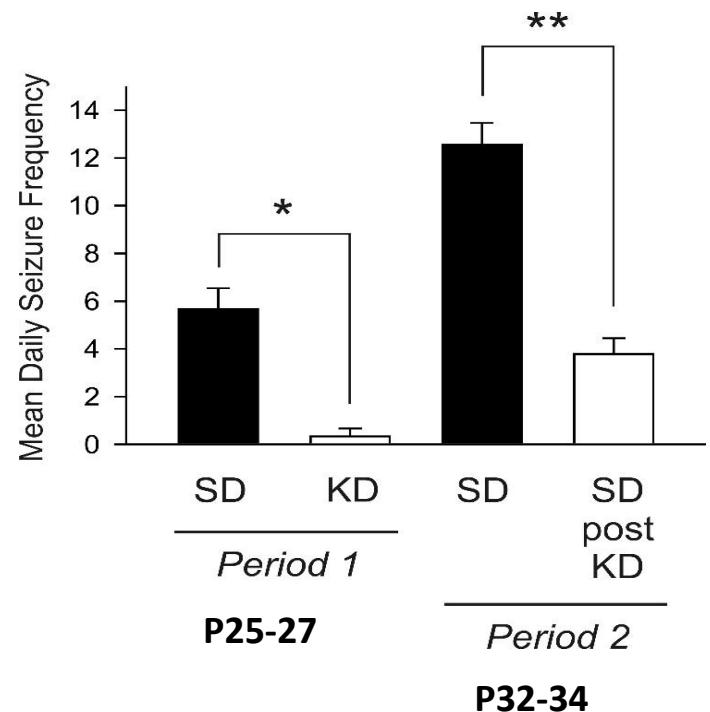
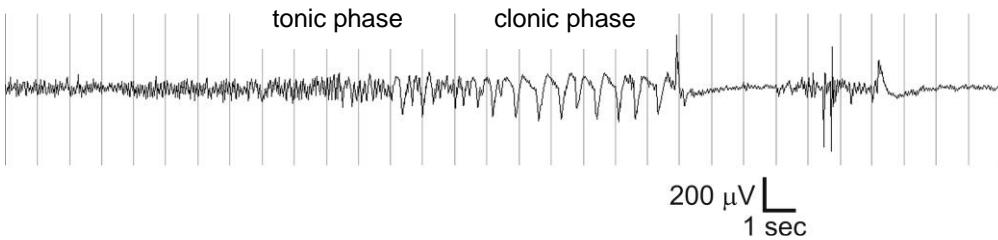
# Disease Modification: KD Prevents Pilocarpine-Induced Epileptogenesis



**B** Seizure count before and after diet reversal



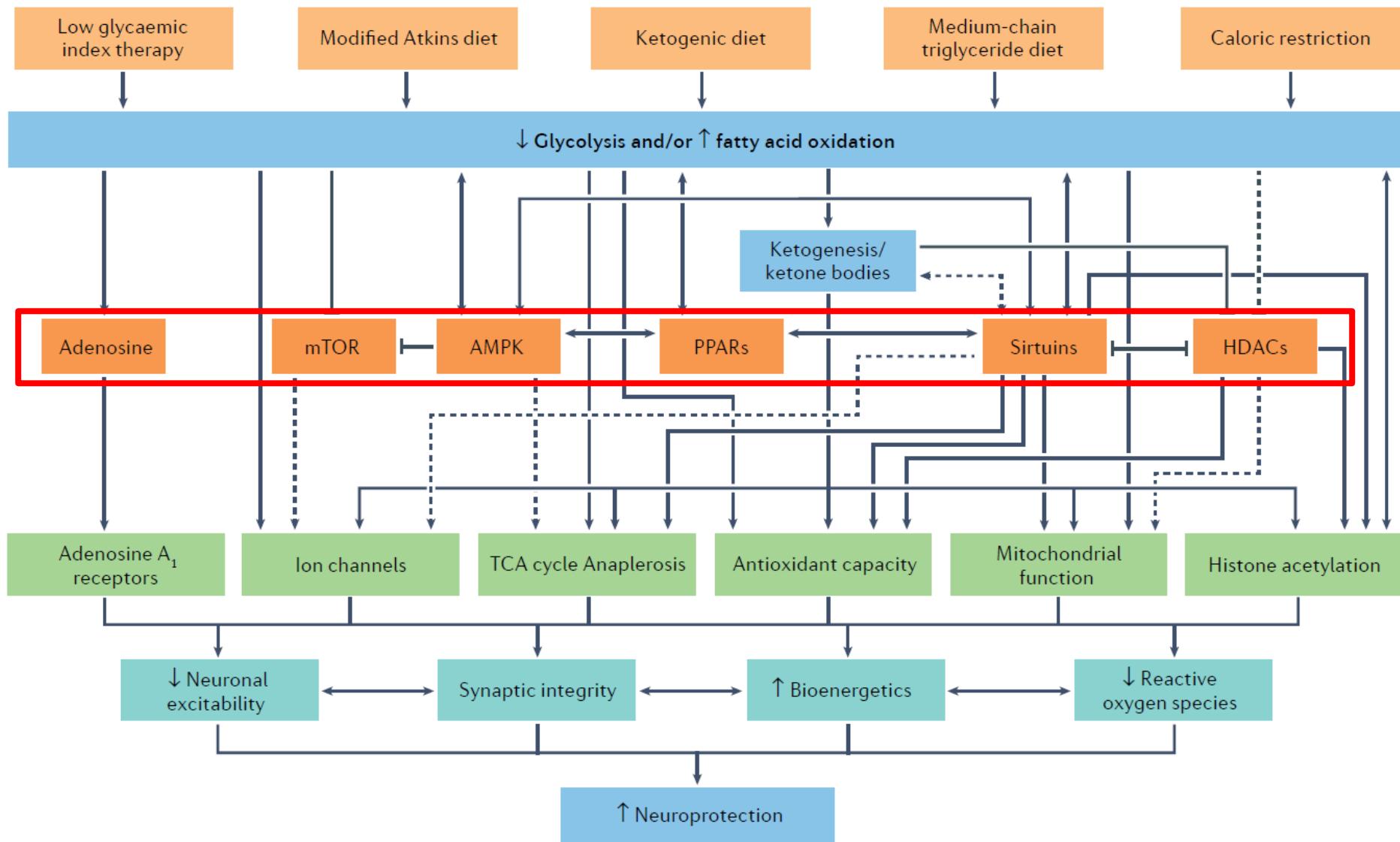
# Ketogenic Diet Blocks Epileptogenesis in *Kcna1*-null Mice



Unpublished data

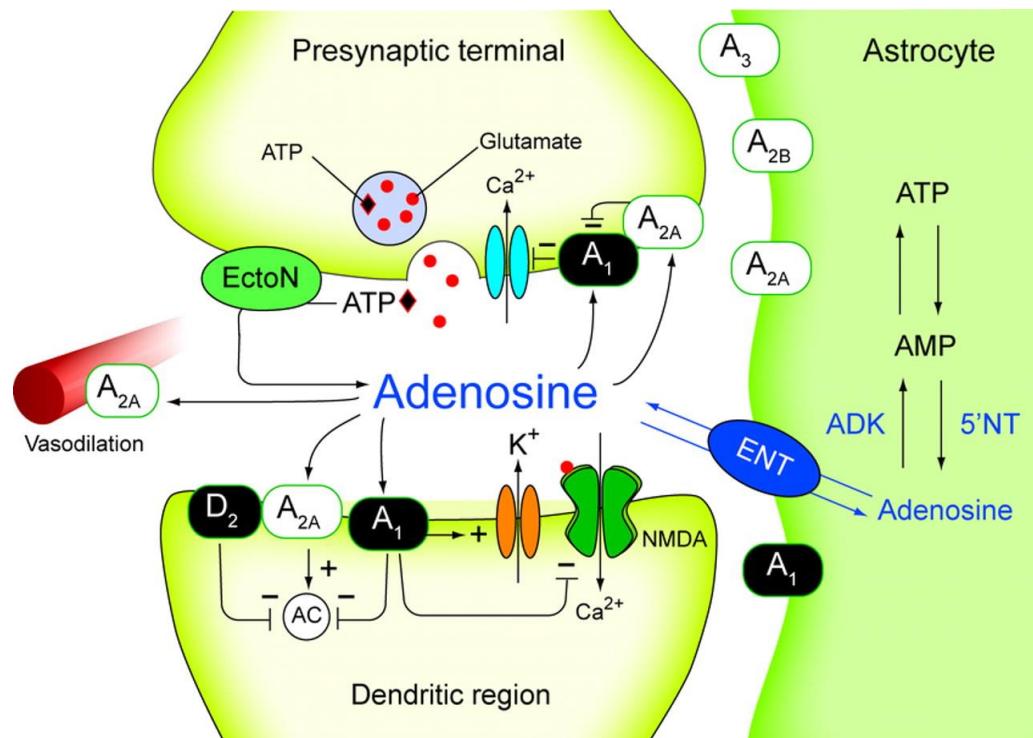
\*, P<0.05: \*\*, P<0.01

# The Ketogenic Diet and Neuroprotection



# Adenosine and Neuroprotection

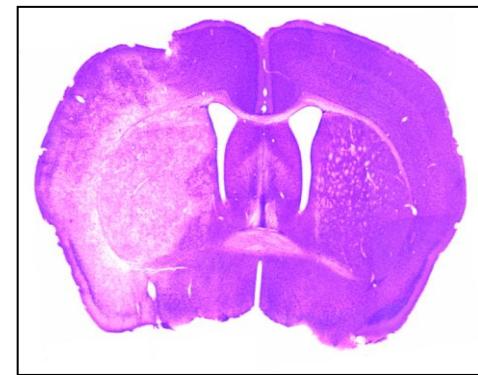
*Stroke prevention by adenosine-releasing stem cells*



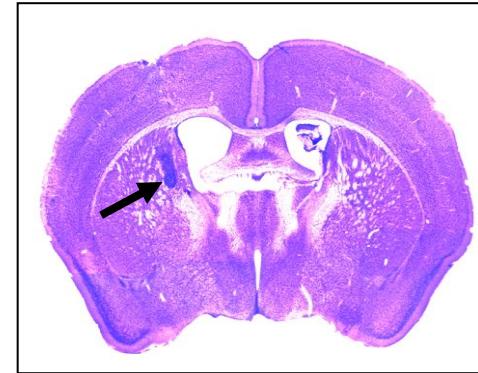
Bennaroch, Neurology 2008

Pignataro et al, J Cereb Blood Flow Metab 2007

*Stroke - MCAO control*

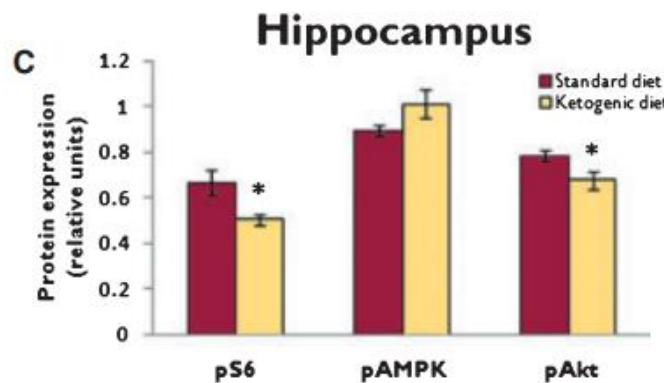
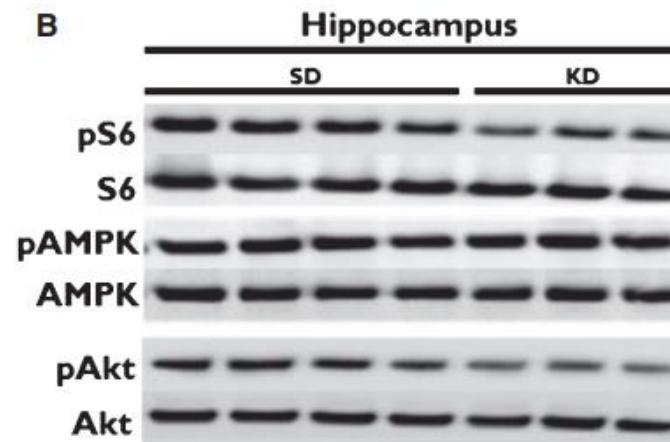
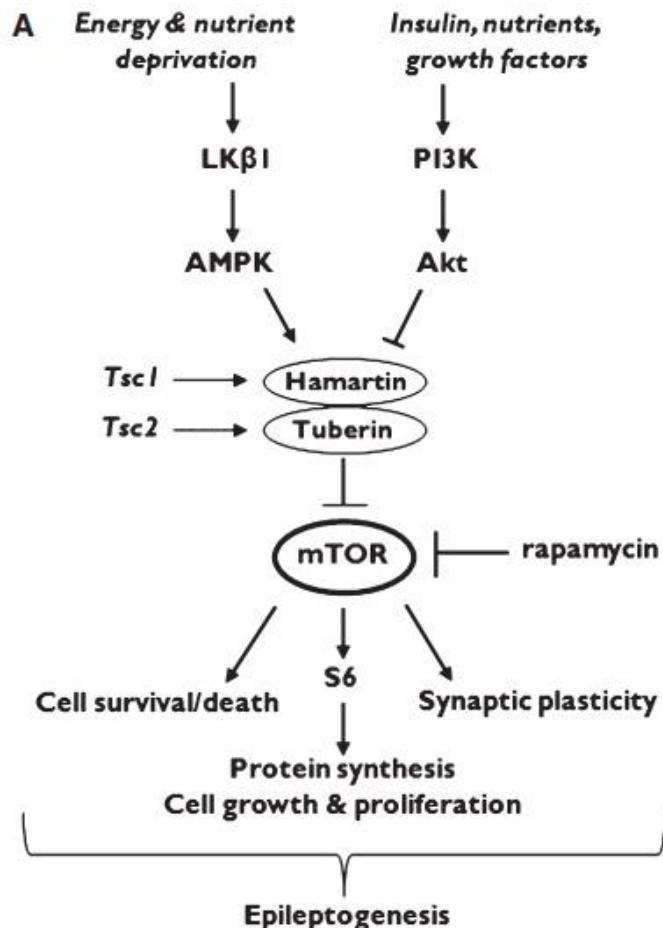


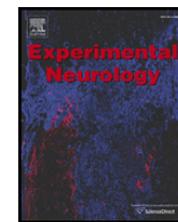
*Stroke prevention after cell graft*



## The ketogenic diet inhibits the mammalian target of rapamycin (mTOR) pathway

Sharon S. McDaniel, Nicholas R. Rensing, Liu Lin Thio, Kelvin A. Yamada, and Michael Wong





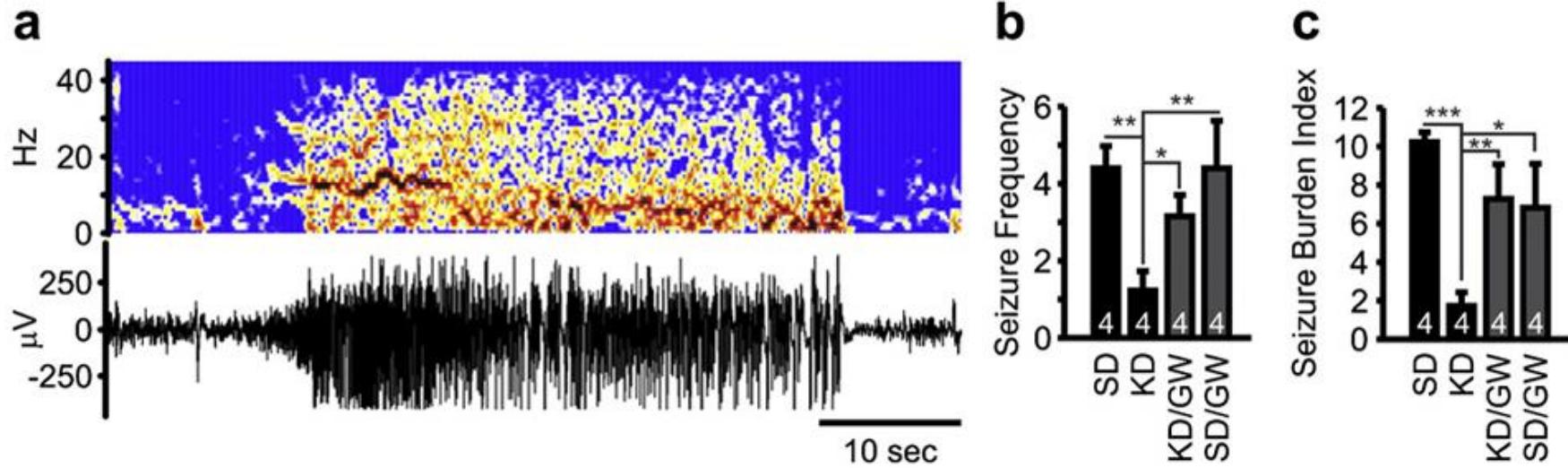
Research Paper

## Regulation of brain PPARgamma2 contributes to ketogenic diet anti-seizure efficacy



Timothy A. Simeone Ph.D <sup>\*</sup>, Stephanie A. Matthews M.S, Kaeli K. Samson M.S, Kristina A. Simeone Ph.D

Creighton University, School of Medicine, Department of Pharmacology, Omaha, NE 68174, USA



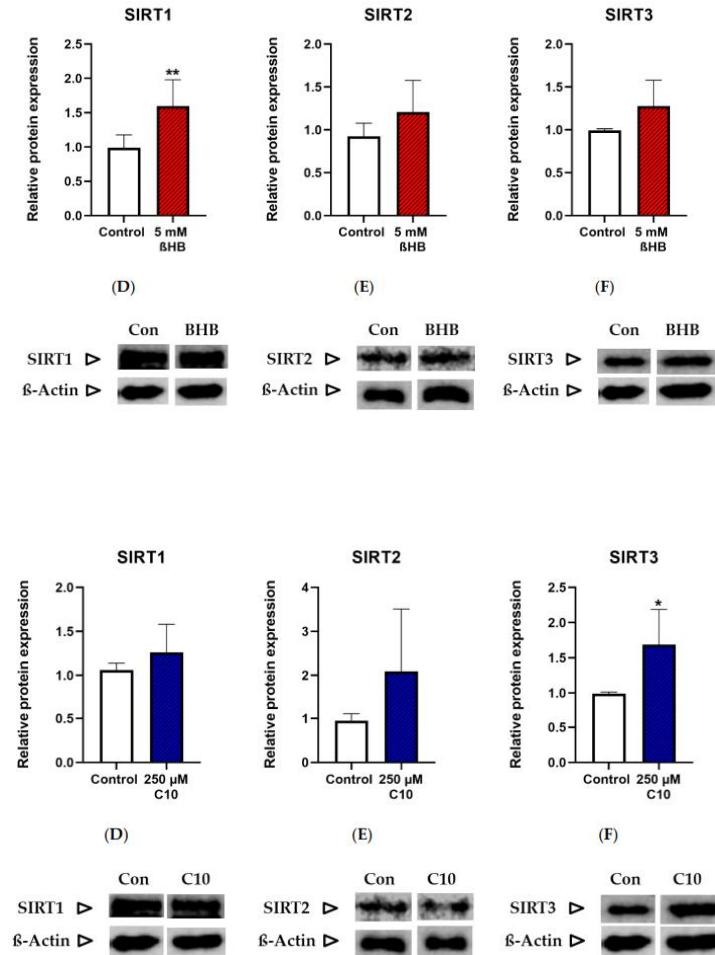
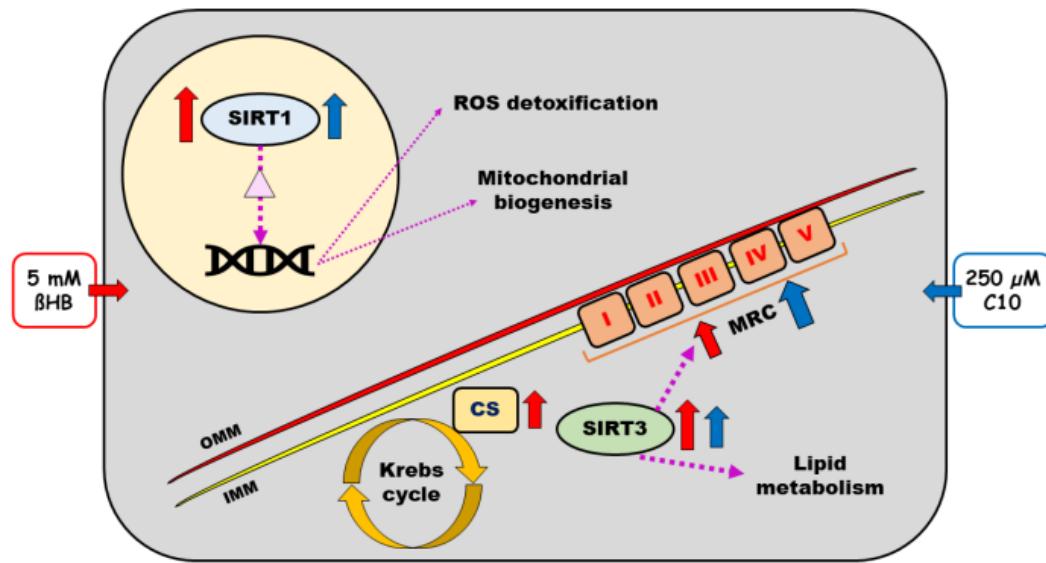


Article

# Mechanism of Action of Ketogenic Diet Treatment: Impact of Decanoic Acid and Beta—Hydroxybutyrate on Sirtuins and Energy Metabolism in Hippocampal Murine Neurons

Partha Dabke and Anibh M. Das \*

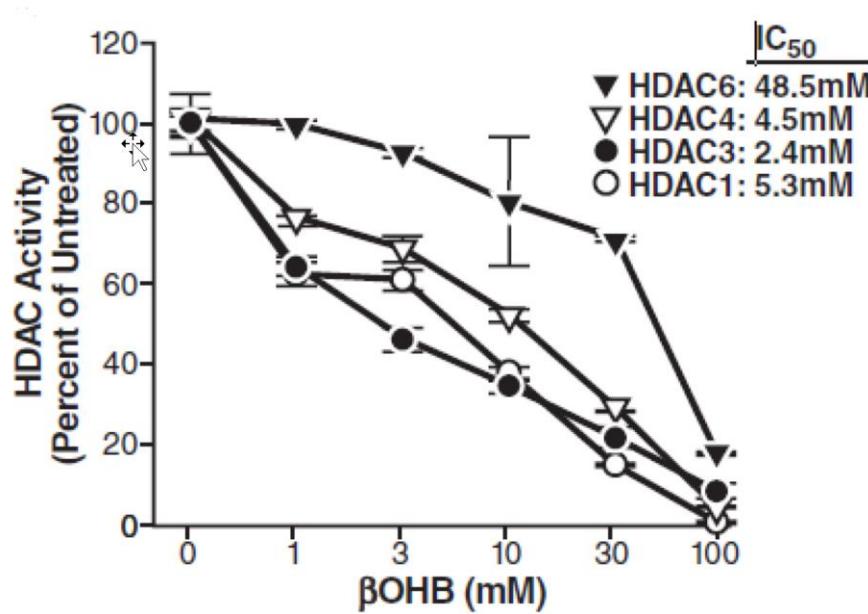
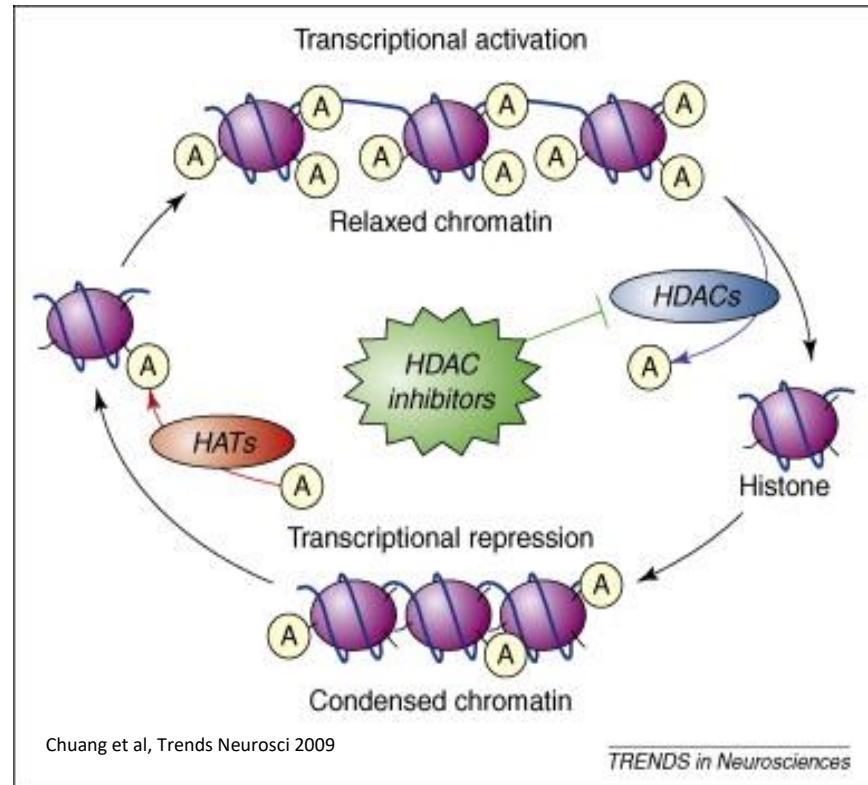
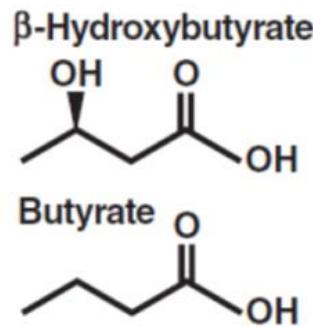
Clinic for Pediatric Kidney, Liver and Metabolic Diseases, Hannover Medical School, 30625 Hannover, Germany; dabke.partha@mh-hannover.de



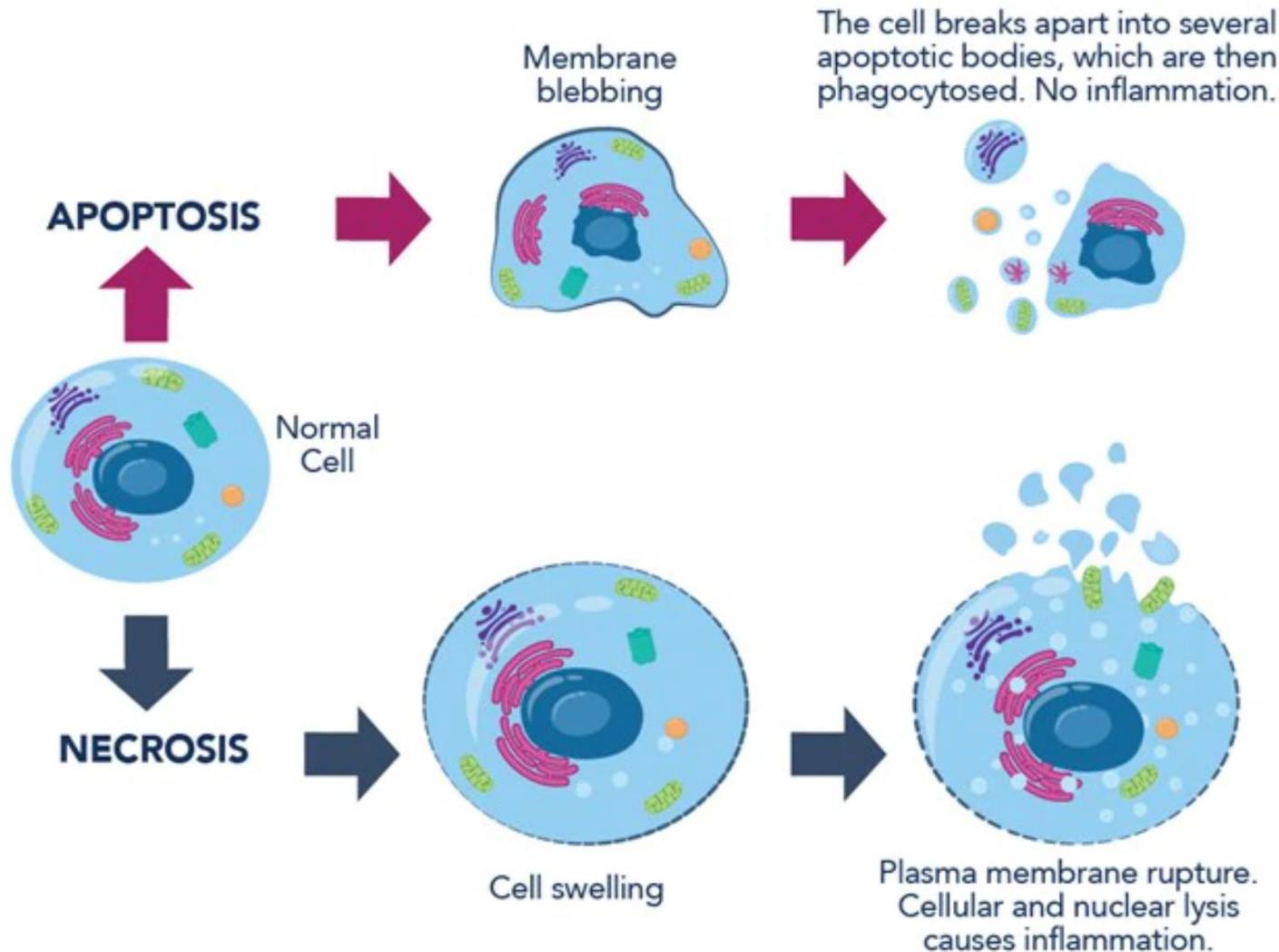
# Suppression of Oxidative Stress by $\beta$ -Hydroxybutyrate, an Endogenous Histone Deacetylase Inhibitor

Tadahiro Shimazu,<sup>1,2</sup> Matthew D. Hirschey,<sup>1,2</sup> John Newman,<sup>1,2</sup> Wenjuan He,<sup>1,2</sup> Kotaro Shirakawa,<sup>1,2</sup> Natacha Le Moan,<sup>3</sup> Carrie A. Grueter,<sup>4,5</sup> Hyungwook Lim,<sup>1,2</sup> Laura R. Saunders,<sup>1,2</sup> Robert D. Stevens,<sup>6</sup> Christopher B. Newgard,<sup>6</sup> Robert V. Farese Jr.,<sup>2,4,5</sup> Rafael de Cabo,<sup>7</sup> Scott Ulrich,<sup>8</sup> Katerina Akassoglou,<sup>3</sup> Eric Verdin<sup>1,2\*</sup>

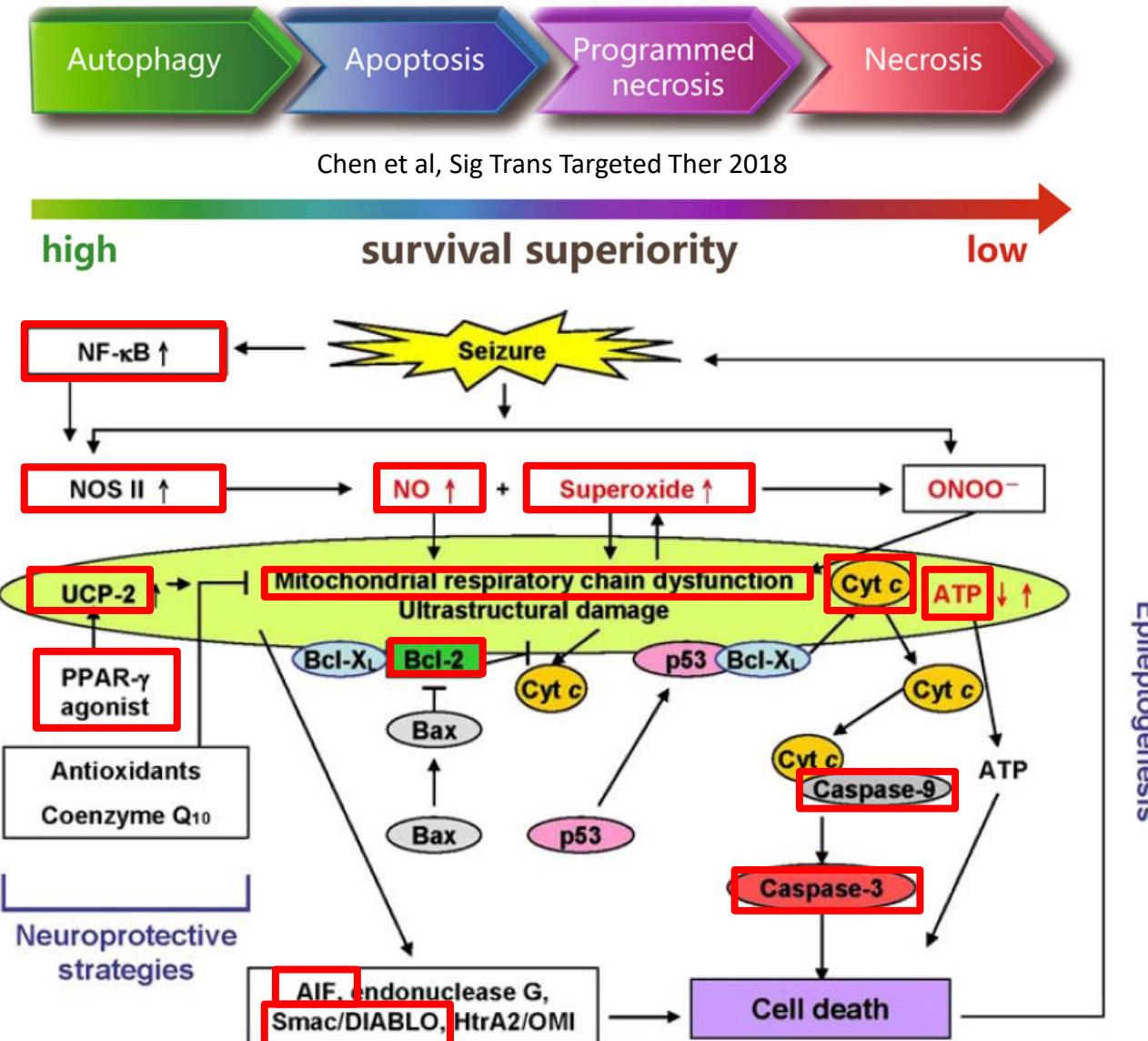
Science, 2013

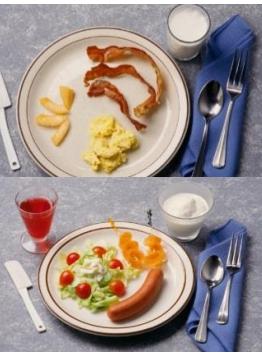


# Cell Death: Apoptosis vs. Necrosis



# Ketogenic Diet Effects on Cell Death Mechanisms





## The ketogenic diet as a treatment paradigm for diverse neurological disorders

Carl E. Stafstrom<sup>1,2</sup> and Jong M. Rho<sup>3,4\*</sup>

<sup>1</sup> Department of Neurology, University of Wisconsin, Madison, WI, USA

<sup>2</sup> Department of Pediatrics, University of Wisconsin, Madison, WI, USA

<sup>3</sup> Department of Pediatrics, University of Calgary Faculty of Medicine, Calgary, AB, Canada

<sup>4</sup> Department of Clinical Neurosciences, University of Calgary Faculty of Medicine, Calgary, AB, Canada

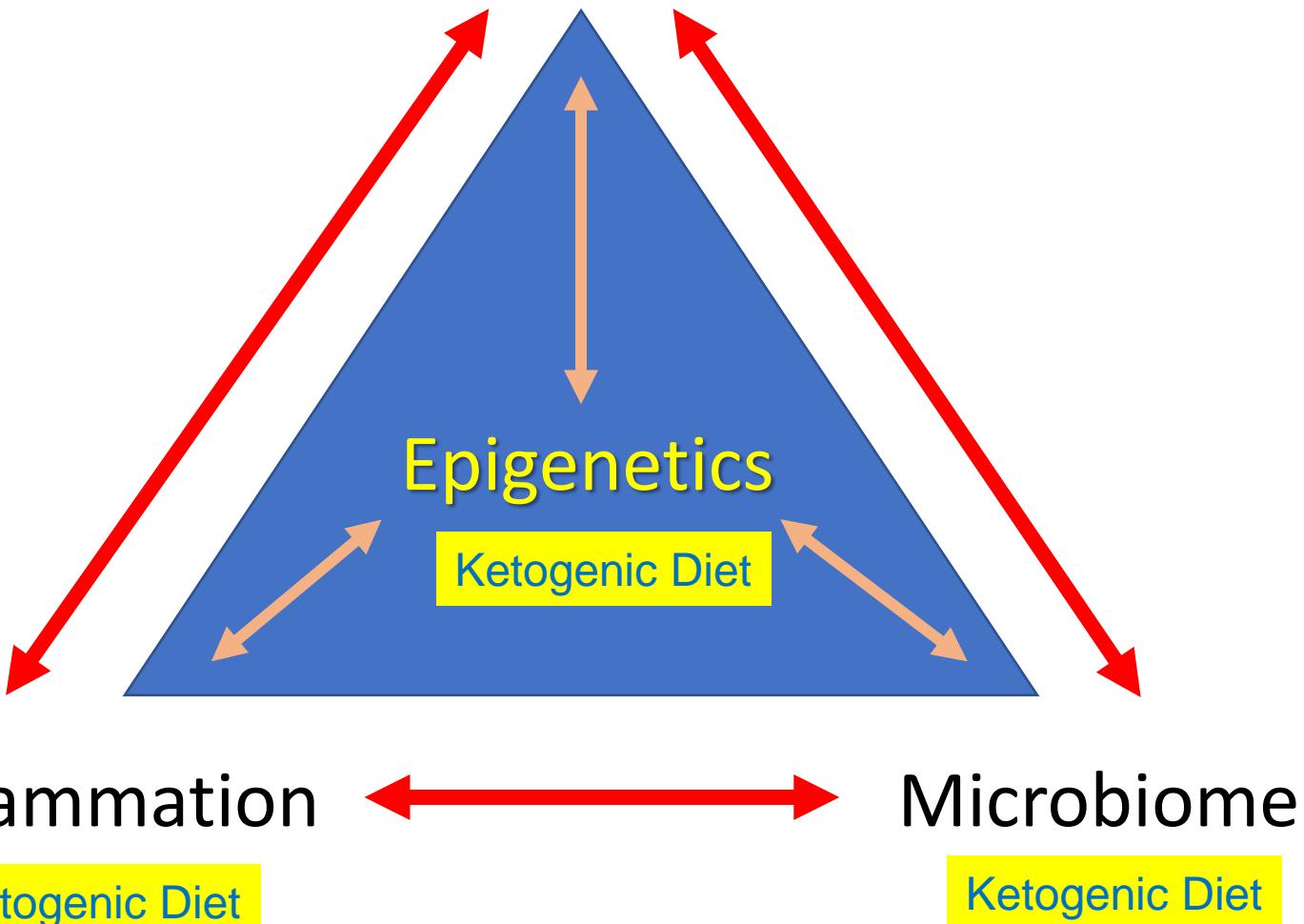
# The Ketogenic Diet: Beyond Epilepsy

- Amyotrophic Lateral Sclerosis
- Alzheimer's Disease
- Anorexia
- Autism Spectrum Disorder
- Bipolar Affective Disorder
- Brain Tumors
- Cognitive Disorders
- Depression
- Diabetes
- Glut1 Deficiency Syndrome
- Glycogenosis Type V
- Huntington Disease
- Hypertension
- Metabolic Syndrome
- Migraine
- Mitochondrial Cytopathies
  - PDH, SSADH, ETC Deficiencies
- Multiple Sclerosis
- Narcolepsy
- Obesity
- Pain Syndromes
- Parkinson's Disease
- Paroxysmal Dyskinesias
- Polycystic Ovary Syndrome
- Post-Hypoxic Myoclonus
- Schizophrenia
- Sleep Disorders
- Stroke
- Traumatic Brain and Spinal Cord Injury

Modified from Kossoff et al, J Child Neurol 2009

Ketogenic Diet

## Metabolism



# Summary

- There is growing evidence that metabolic dysregulation is both a cause and consequence of epileptic seizures
- Metabolism-based treatments exert a multitude of molecular and cellular actions
- Many of the putative mechanisms of action of diet-related substrates & enzymes are relevant to neuroprotection (and potentially, disease-modification)
- The science underlying dietary therapies for epilepsy is becoming increasingly validated.

# Acknowledgements

## Rho Lab (Univ. Calgary and UCSD)    Collaborators

- Cezar Gavrilovici, PhD
- Younghee Ahn, PhD
- Jaehyouk Choi, PhD
- Bianca Villa, PhD
- Shuhe Wang
- Elizabeth Hughes
- Maryam Khanbabaei
- Nellie Yee
- Rukkia Liaqat
- Srija Pamujula
- Yueyang Cai
- Ananya Achanta
- Jeffrey Smith
- Rose Tobias
- Matthew Shtrahman, MD, PhD & Dillon Chen, MD, PhD
  - *University of California San Diego*
- Susan Masino, PhD & David Ruskin, PhD
  - *Trinity College, Hartford, CT*
- Timothy Shutt, PhD and Ning Cheng, PhD
  - *University of Calgary*
- Timothy Simeone, PhD & Kristina Simeone, PhD
  - *Creighton University, Nebraska*
- Do Young Kim, DVM, PhD
  - *Barrow Neurological Institute, Phoenix, AZ*
- Patrick Sullivan, PhD & James Geddes, PhD
  - *University of Kentucky (Lexington)*

Funding Sources: U.S. National Institutes of Health, Canadian Institutes of Health Research, Brain Canada, Alberta Children's Hospital Research Institute, Hotchkiss Brain Institute, Alberta Children's Hospital Foundation, Rady Children's Hospital Foundation, UC San Diego, Hartwell Foundation, Dravet Canada, Scottish Rite Charitable Foundation, Branch Out Neurological Foundation, Seahorse Bioscience/Agilent



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and Metabolic Treatments for Brain-Related Disorders*

